# Lifted Static Analysis of Dynamic Program Families by Abstract Interpretation

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## Abstract

Program families (software product lines) are increasingly adopted by industry for building families 8 of related software systems. A program family offers a set of features (configured options) to control 9 10 the presence and absence of software functionality. Features in program families are often assigned at compile-time, so their values can only be *read* at run-time. However, today many program families 11 and application domains demand run-time adaptation, reconfiguration, and post-deployment tuning. 12 Dynamic program families (dynamic software product lines) have emerged as an attempt to handle 13 variability at run-time. Features in dynamic program families can be controlled by ordinary program 14 variables, so *reads* and *writes* to them may happen at run-time. 15

Recently, a decision tree lifted domain for analyzing traditional program families with numerical 16 features has been proposed, in which decision nodes contain linear constraints defined over numerical 17 features and leaf nodes contain analysis properties defined over program variables. Decision nodes 18 partition the configuration space of possible feature values, while leaf nodes provide analysis 19 information corresponding to each partition of the configuration space. As features are statically 20 assigned at compile-time, decision nodes can be added, modified, and deleted only when analyzing 21 read accesses of features. In this work, we extend the decision tree lifted domain so that it can be used 22 to efficiently analyze dynamic program families with numerical features. Since features can now be 23 changed at run-time, decision nodes can be modified when handling read and write accesses of feature 24 variables. For this purpose, we define extended transfer functions for assignments and tests as well 25 as a special widening operator to ensure termination of the lifted analysis. To illustrate the potential 26 of this approach, we have implemented a lifted static analyzer, called DSPLNUM<sup>2</sup>ANALYZER, for 27 inferring numerical invariants of dynamic program families written in C. An empirical evaluation 28 on benchmarks from SV-COMP indicates that our tool is effective and provides a flexible way of 29 adjusting the precision/cost ratio in static analysis of dynamic program families. 30

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# **1** Introduction

A program family (software product line) is a set of similar programs, called variants, that is 37 built from a common code base [39]. The variants of a program family can be distinguished 38 in terms of *features*, which describe the commonalities and variability between the variants. 39 Program families are commonly seen in the development of commercial embedded and critical 40 system domains, such as cars, phones, avionics, medicine, robotics, etc. [1]. There are 41 several techniques for implementing program families. Often traditional program families 42 [11] support static feature binding and require to know the values of features at compile-43 time. For example, **#if** directives from the C preprocessor CPP represent the most common 44 implementation mechanism in practice [34]. At compile-time, a variant is derived by assigning 45

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concrete values to a set of features relevant for it, and only then is this variant compiled or 46 interpreted. However, in an increasingly dynamic world, the increasing need for adaptive 47 software demands highly configurable and adaptive variability mechanisms, many of them 48 managed at run-time. Recent development approaches such as dynamic program families 49 (dynamic software product lines) [29, 28, 41, 7] support dynamic feature binding, and so 50 features can be assigned at run-time. This provides high flexibility to tailor a variant with 51 respect to available resources and user preferences on demand. Dynamic binding is often 52 necessary in long-running systems that cannot be stopped but have to adapt to changing 53 requirements [27]. For example, for a mobile device, we can decide at run-time which values 54 of features are actually required according to the location of the device. Hence, a dynamic 55 program family adapts to dynamically changing requirements by reconfiguring itself, which 56 may result in an infinite configuration process [10]. 57

In this paper, we devise an approach to perform static analysis by abstract interpretation 58 of dynamic program families. Abstract interpretation [12, 38] is a powerful framework for 59 approximating the semantics of programs. It provides static analysis techniques that analyze 60 the program's source code directly and without intervention at some level of abstraction. 61 The obtained static analyses are sound (all reported correct programs are indeed correct) 62 and efficient (with a good trade-off between precision and cost). However, static analysis 63 of program families is harder than static analysis of single programs, because the number 64 of possible variants can be very large (often huge) in practice. Recently, researchers have 65 addressed this problem by designing aggregate lifted (family-based) static analyses [5, 36, 47], 66 which analyze all variants of the family simultaneously in a single run. These techniques take 67 as input the common code base, which encodes all variants of a program family, and produce 68 precise analysis results for all variants. Lifted static analysis by abstract interpretation of 69 traditional (static) program families with numerical features has been introduced recently 70 [21]. The elements of the lifted abstract domain are *decision trees*, in which the decision 71 nodes are labelled with linear constraints over numerical features, whereas the leaf nodes 72 belong to a single-program analysis domain. The decision trees recursively partition the 73 space of configurations (i.e., the space of possible combinations of feature values), whereas 74 the program properties at the leaves provide analysis information corresponding to each 75 partition, i.e. to the variants (configurations) that satisfy the constraints along the path to 76 the given leaf node. Since features are statically bound at compile-time and only appear in 77 presence conditions of **#if** directives, new decision nodes can only be added by feature-based 78 presence conditions (at **#if** directives), and existing decision nodes can be removed when 79 merging the corresponding control flows again. The fundamental limitation of this decision 80 tree lifted domain [21] (as well as other lifted domains [4, 36, 47]) is that it cannot handle 81 82 dynamically bound features that can be changed at run-time.

To improve over the state-of-the-art, we devise a novel decision tree lifted domain for 83 analyzing dynamic program families with numerical features. Since features can now be 84 dynamically reconfigured and bound at run-time, linear constraints over features that occur 85 in decision nodes can be dynamically changed during the analysis. This requires extended 86 transfer functions for assignments and tests that can freely modify decision nodes and leafs. 87 Moreover, we need a special widening operator applied on linear constraints in decision nodes 88 as well as on analysis properties in leaf nodes to ensure that we obtain finite decision trees. 89 This way, we minimize the cost of the lifted analysis and ensure its termination. 90

The resulting decision tree lifted domain is parametric in the choice of the numerical domain that underlies the linear constraints over numerical features labelling decision nodes, and the choice of the single-program analysis domain for leaf nodes. In our implementation,

 $_{94}\,$  we also use numerical domains for leaf nodes, which encode linear constraints over both

program and feature variables. We use well-known numerical domains, including intervals [12],
 octagons [37], polyhedra [16], from the APRON library [33], to obtain a concrete decision

<sup>97</sup> tree-based implementation of the lifted abstract domain. To demonstrate the feasibility of our

<sup>98</sup> approach, we have implemented a *lifted analysis* of dynamic program families written in C for

<sup>99</sup> the automatic inference of numerical invariants. Our tool, called DSPLNUM<sup>2</sup>ANALYZER<sup>1</sup>, <sup>000</sup> computes a set of possible numerical invariants, which represent linear constraints over

computes a set of possible numerical invariants, which represent linear constraints over
 program and feature variables. We can use the implemented lifted static analyzer to check
 invariance properties of dynamic program families in C, such as assertions, buffer overflows,
 null pointer references, division by zero, etc. [14].

Since features behave as ordinary program variables in dynamic program families, they 104 can be also analyzed using off-the-shelf single-program analyzers. For example, we can use 105 numerical abstract domains from the APRON library [33] for analyzing dynamic program 106 families. However, these domains infer a conjunction of linear constraints over variables to 107 record the information of all possible values of variables and relationships between them. 108 The absence of disjunctions may result in rough approximations and very weak analysis 109 results, which may lead to imprecisions and the failure of showing the required program 110 properties. The decision tree lifted domain proposed here overcomes these limitations of 111 standard single-program analysis domains by adding weak forms of disjunctions arising from 112 feature-based program constructs. The elements of the decision tree lifted domain partition 113 the space of possible values of features inducing disjunctions into the leaf domain. 114

<sup>115</sup> In summary, we make several contributions:

We propose a new parameterized decision tree lifted domain suited for handling program families with dynamically bound features.

We develop a lifted static analyzer, DSPLNUM<sup>2</sup>ANALYZER, in which the lifted domain is instantiated to numerical domains from the APRON library.

We evaluate our approach for lifted static analysis of dynamic program families written in C. We compare (precision and time) performances of our decision tree-based approach with the single-program analysis approach; and we show their concrete application in assertion checking. Our lifted analysis provides an acceptable precision/cost tradeoff: we obtain invariants with a higher degree of precision within a reasonable amount of time than when using single-program analysis.

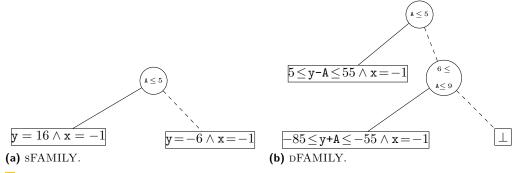
# <sup>126</sup> 2 Motivating Example

We now illustrate the decision tree lifted domain through several motivating examples. The 127 code base of the program family sFAMILY is given in Fig. 1. sFAMILY contains one 128 numerical feature A whose domain is  $[0, 99] = \{0, 1, \dots, 99\}$ . Thus, there are a hundred 129 valid configurations  $\mathbb{K} = \{(\mathbf{A} = 0), (\mathbf{A} = 1), \dots, (\mathbf{A} = 99)\}$ . The code of sFAMILY contains 130 one **#if** directive that changes the current value of program variable y depending on how 131 feature A is set at compile-time. For each configuration from  $\mathbb{K}$ , a variant (single program) 132 can be generated by appropriately resolving the **#if** directive. For example, the variant 133 corresponding to configuration (A=0) will have the assignment y := y+1 included in location 134 (3), whereas the variant corresponding to configuration (A = 10) will have the assignment 135 y := y-1 included in location ③. 136

<sup>&</sup>lt;sup>1</sup> NUM<sup>2</sup> in the name of the tool refers to its ability to both handle NUMerical features and to perform NUMerical client analysis of dynamic program families (DSPLs).

**Figure 1** Program family sFAMILY.

**Figure 2** Dynamic program family DFAM-ILY.



**Figure 3** Inferred decision trees at final program locations (solid edges = true, dashed edges = false).

Assume that we want to perform *lifted polyhedra analysis* of sFAMILY using the decision 137 tree lifted domain introduced in [21]. The decision tree inferred at the final location of 138 sFAMILY is shown in Fig. 3a. Notice that inner decision nodes (resp., leaves) of the decision 139 tree in Fig. 3a are labeled with *Polyhedra* linear constraints over feature A (resp., over 140 program variables x and y). The edges of decision trees are labeled with the truth value of 141 the decision on the parent node; we use solid edges for true (i.e. the constraint in the parent 142 node is satisfied) and dashed edges for false (i.e. the negation of the constraint in the parent 143 node is satisfied). We observe that decision trees offer good possibilities for sharing and 144 interaction between analysis properties corresponding to different configurations, and so they 145 provide compact representation of lifted analysis elements. For example, the decision tree in 146 Fig. 3a shows that when  $(A \leq 5)$  the shared property in the final location is (y=16, x=-1), 147 whereas when (A > 5) the shared property is (y = -6, x = -1). Hence, the decision tree-based 148 approach uses only two leaves (program properties), whereas the brute force enumeration 149 approach that analyzes all variants one by one will use a hundred program properties. This 150 ability for sharing is the key motivation behind the usage of decision trees in lifted analysis. 151

Consider the code base of the dynamic program family DFAMILY in Fig. 2. Similarly 152 to sFAMILY, DFAMILY contains one feature A with domain [0,99]. However, feature A in 153 sFAMILY can only be read and occurs only in presence conditions of #if-s. In contrast, 154 feature A in DFAMILY can also be assigned and occurs freely in the code as any other 155 program variable (see locations (2), (4), (5), and (7)). To perform *lifted polyhedra analysis* 156 of DFAMILY, we need to extend the decision tree lifted domain for traditional program 157 families [21], so that it takes into account the new possibilities of features in dynamic program 158 families. The decision tree inferred in program location (7) of DFAMILY is depicted in 159

<sup>160</sup> Fig. 3b. It can be written as the following disjunctive property in first order logic:

$$(0 \le \mathbf{A} \le 5 \land 5 \le \mathbf{y} - \mathbf{A} \le 55 \land \mathbf{x} = -1) \lor (6 \le \mathbf{A} \le 9 \land -85 \le \mathbf{y} + \mathbf{A} \le -55 \land \mathbf{x} = -1) \lor (9 < \mathbf{A} \le 99 \land \bot)$$

This invariant successfully confirms the validity of the given assertion. Note that, the 162 leaf node  $\perp$  abstracts only the empty set of (concrete) program states and so it describes 163 unreachable program locations. Hence,  $\perp$  in Fig. 3b means that the assertion at location (7) is 164 unreachable when (A > 9). Also, as decision nodes partition the space of valid configurations 165  $\mathbb{K}$ , we implicitly assume the correctness of linear constraints that take into account domains 166 of features. For example, the decision node  $(A \leq 5)$  is satisfied when  $(A \leq 5) \land (0 \leq A \leq 99)$ , 167 whereas its negation is satisfied when  $(A > 5) \land (0 \le A \le 99)$ . The constraint  $(0 \le A \le 99)$ 168 represents the domain of A. 169

Alternatively, dynamic program family DFAMILY can be analyzed using the off-the-shelf 170 (single-program) APRON polyhedra domain [33], such that feature A is considered as an 171 ordinary program variable. In this case, we obtain the invariant:  $A+y \leq 66 \land A-y \geq -54$  at 172 location (7). However, this invariant is not strong enough to establish the validity of the 173 given assertion. This is because the different partitions of the set of valid configurations 174 have different behaviours and this single-program domain do not consider them separately. 175 Therefore, this domain is less precise than the decision tree lifted domain that takes those 176 differences into account. 177

# **3** A Language for Dynamic Program Families

Let  $\mathbb{F} = \{A_1, \ldots, A_n\}$  be a finite and totaly ordered set of *numerical features* available in a 179 dynamic program family. For each feature  $A \in \mathbb{F}$ , dom $(A) \subseteq \mathbb{Z}$  denotes the set of possible 180 values that can be assigned to A. Note that any Boolean feature can be represented as 181 a numerical feature  $B \in \mathbb{F}$  with dom $(B) = \{0, 1\}$ , such that 0 means that feature B is 182 disabled while 1 means that B is enabled. An assignment of values to all features represents 183 a configuration k, which specifies one variant of a program family. It is given as a valuation 184 function  $k : \mathbb{K} = \mathbb{F} \to \mathbb{Z}$ , which is a mapping that assigns a value from dom(A) to each 185 feature A, i.e.  $k(A) \in \text{dom}(A)$  for any  $A \in \mathbb{F}$ . We assume that only a subset K of all 186 possible configurations are *valid*. An alternative representation of configurations is based 187 upon propositional formulae. Each configuration  $k \in \mathbb{K}$  can be represented by a formula: 188  $(A_1 = k(A_1)) \land \ldots \land (A_n = k(A_n))$ . Given a Boolean feature  $B \in \mathbb{F}$ , we often abbreviate 189 (B=1) with formula B and (B=0) with formula  $\neg B$ . The set of valid configurations K 190 can be also represented as a formula:  $\forall_{k \in \mathbb{K}} k$ . 191

We consider a simple sequential non-deterministic programming language, which will be 192 used to exemplify our work. The program variables Var are statically allocated and the 193 only data type is the set  $\mathbb{Z}$  of mathematical integers. To introduce dynamic variability into 194 the language, apart from reading the current values of features, it is possible to write into 195 features. The new statement "A:=ae" has a possibility to update the current configuration 196 (variant)  $k \in \mathbb{K}$  by assigning a new arithmetic expression *ae* to feature A. This is known 197 as run-time reconfiguration [7]. We write  $k[A \mapsto n]$  for the updated configuration that is 198 identical to k but feature A is mapped to value n. The syntax of the language is: 199

 $s ::= \operatorname{skip} | \mathbf{x} := ae | s; s | \operatorname{if} (be) \operatorname{then} s \operatorname{else} s | \operatorname{while} (be) \operatorname{do} s | A := ae,$   $ae ::= n | [n, n'] | \mathbf{x} \in Var | A \in \mathbb{F} | ae \oplus ae,$  $be ::= ae \bowtie ae | \neg be | be \land be | be \lor be$ 

where *n* ranges over integers  $\mathbb{Z}$ , [n, n'] over integer intervals, **x** over program variables *Var*, *A* over numerical features  $\mathbb{F}$ , and  $\oplus \in \{+, -, *, /\}$ ,  $\bowtie \in \{<, \leq, =, \neq\}$ . Integer intervals [n, n']

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 $_{203}$  denote a random choice of an integer in the interval. The set of all statements s is denoted

by Stm; the set of all arithmetic expressions ae is denoted by AExp; the set of all boolean expressions be is denoted by BExp.

#### 206 Semantics.

We now define the semantics of a dynamic program family. A store  $\sigma : \Sigma = Var \to \mathbb{Z}$ is a mapping from program variables to values, whereas a configuration  $k : \mathbb{K} = \mathbb{F} \to \mathbb{Z}$ is a mapping from numerical features to values. A program state  $s = \langle \sigma, k \rangle : \Sigma \times \mathbb{K}$  is a pair consisting of a store  $\sigma \in \Sigma$  and a configuration  $k \in \mathbb{K}$ . The semantics of arithmetic expressions  $[ae] : \Sigma \times \mathbb{K} \to \mathcal{P}(\mathbb{Z})$  is the set of possible values for expression *ae* in a given state. It is defined by induction on *ae* as a function from a store and a configuration to a set of values:

$$\begin{bmatrix} n \end{bmatrix} \langle \sigma, k \rangle = \{n\}, \ \begin{bmatrix} [n, n'] \end{bmatrix} \langle \sigma, k \rangle = \{n, \dots, n'\}, \ \begin{bmatrix} \mathbf{x} \end{bmatrix} \langle \sigma, k \rangle = \{\sigma(\mathbf{x})\}, \\ \begin{bmatrix} A \end{bmatrix} \langle \sigma, k \rangle = \{k(A)\}, \ \begin{bmatrix} ae_0 \oplus ae_1 \end{bmatrix} \langle \sigma, k \rangle = \{n_0 \oplus n_1 \mid n_0 \in \llbracket ae_0 \rrbracket \langle \sigma, k \rangle, n_1 \in \llbracket ae_1 \rrbracket \langle \sigma, k \rangle \}$$

Similarly, the semantics of boolean expressions  $\llbracket be \rrbracket : \Sigma \times \mathbb{K} \to \mathcal{P}(\{\text{true}, \text{false}\})$  is the set of possible truth values for expression *be* in a given state.

$$\begin{bmatrix} ae_0 \bowtie ae_1 \end{bmatrix} \langle \sigma, k \rangle = \{ n_0 \bowtie n_1 \mid n_0 \in \llbracket ae_0 \rrbracket \langle \sigma, k \rangle, n_1 \in \llbracket ae_1 \rrbracket \langle \sigma, k \rangle \} \\ \begin{bmatrix} \neg be \rrbracket \langle \sigma, k \rangle = \{ \neg t \mid t \in \llbracket be \rrbracket \langle \sigma, k \rangle \}, \\ \llbracket be_0 \land be_1 \rrbracket \langle \sigma, k \rangle = \{ t_0 \land t_1 \mid t_0 \in \llbracket be_0 \rrbracket \langle \sigma, k \rangle, t_1 \in \llbracket be_1 \rrbracket \langle \sigma, k \rangle \} \\ \llbracket be_0 \lor be_1 \rrbracket \langle \sigma, k \rangle = \{ t_0 \lor t_1 \mid t_0 \in \llbracket be_0 \rrbracket \langle \sigma, k \rangle, t_1 \in \llbracket be_1 \rrbracket \langle \sigma, k \rangle \}$$

We define an *invariance semantics* [12, 38] on the complete lattice  $\langle \mathcal{P}(\Sigma \times \mathbb{K}), \subseteq, \cup, \cap, \emptyset, \Sigma \times \mathbb{K} \rangle$ 218  $\mathbb{K}$  by induction on the syntax of programs. It works on sets of states, so the property of 219 interest is the possible sets of stores and configurations that may arise at each program 220 location. In Fig. 4, we define the invariance semantics  $[s] : \mathcal{P}(\Sigma \times \mathbb{K}) \to \mathcal{P}(\Sigma \times \mathbb{K})$  of each 221 program statement. The states resulting from the invariance semantics are built forward: 222 each function [s] takes as input a set of states (i.e. pairs of stores and configurations) 223  $S \in \mathcal{P}(\Sigma \times \mathbb{K})$  and outputs the set of possible states at the final location of the statement. 224 The operation  $k[A \mapsto n]$  (resp.,  $\sigma[\mathbf{x} \mapsto n]$ ) is used to update a configuration from K (resp., a 225 store from  $\Sigma$ ). Note that a while statement is given in a standard fixed-point formulation 226 [12], where the fixed-point functional  $\phi: \mathcal{P}(\Sigma \times \mathbb{K}) \to \mathcal{P}(\Sigma \times \mathbb{K})$  accumulates the possible 227 states after another while iteration from a given set of states X. 228

However, the invariance semantics  $[\![s]\!]$  is not computable since our language is Turing complete. In the following, we present sound decidable abstractions of  $[\![s]\!]$  by means of decision tree-based abstract domains.

# <sup>232</sup> **4** Decision Trees Lifted Domain

Lifted analyses are designed by *lifting* existing single-program analyses to work on program 233 families, rather than on individual programs. Lifted analysis for traditional program families 234 introduced in [21] relies on a decision tree lifted domain. The leaf nodes of decision trees 235 belong to an existing single-program analysis domain, and are separated by linear constraints 236 over numerical features, organized in decision nodes. In Section 4.1, we first recall basic 237 elements of the decision tree lifted domain [21] that can be reused for dynamic program 238 families. Then, in Section 4.2 we consider extended transfer functions for assignments 239 and tests when features can freely occur in them, whereas in Section 4.3 we define the 240 extrapolation widening operator for this lifted domain. Finally, we define the abstract 241 invariance semantics based on this domain and show its soundness in Section 4.4. 242

$$\begin{split} \llbracket \texttt{skip} \rrbracket S &= S \\ \llbracket \texttt{x} := ae \rrbracket S &= \{ \langle \sigma[\texttt{x} \mapsto n], k \rangle \mid \langle \sigma, k \rangle \in S, n \in \llbracket ae \rrbracket \langle \sigma, k \rangle \} \\ \llbracket s_1 \ ; \ s_2 \rrbracket S &= \llbracket s_2 \rrbracket (\llbracket s_1 \rrbracket S) \\ \llbracket \texttt{if } be \texttt{ then } s_1 \texttt{ else } s_2 \rrbracket S &= \llbracket s_1 \rrbracket \{ \langle \sigma, k \rangle \in S \mid \texttt{true} \in \llbracket be \rrbracket \langle \sigma, k \rangle \} \cup \\ \llbracket s_2 \rrbracket \{ \langle \sigma, k \rangle \in S \mid \texttt{false} \in \llbracket be \rrbracket \langle \sigma, k \rangle \} \\ \llbracket \texttt{while } be \texttt{ do } s \rrbracket S &= \{ \langle \sigma, k \rangle \in \texttt{lfp} \phi \mid \texttt{false} \in \llbracket be \rrbracket \langle \sigma, k \rangle \} \\ \phi(X) &= S \cup \llbracket s \rrbracket \{ \langle \sigma, k \rangle \in X \mid \texttt{true} \in \llbracket be \rrbracket \langle \sigma, k \rangle \} \\ \llbracket A := ae \rrbracket S &= \{ \langle \sigma, k [A \mapsto n] \rangle \mid \langle \sigma, k \rangle \in S, n \in \llbracket ae \rrbracket \langle \sigma, k \rangle, k [A \mapsto n] \in \mathbb{K} \} \end{split}$$

**Figure 4** Invariance semantics  $[s] : \mathcal{P}(\Sigma \times \mathbb{K}) \to \mathcal{P}(\Sigma \times \mathbb{K})$ .

## 243 **4.1 Basic elements**

#### Abstract domain for leaf nodes.

We assume that a single-program numerical domain  $\mathbb D$  defined over a set of variables V is 245 equipped with sound operators for concretization  $\gamma_{\mathbb{D}}$ , ordering  $\sqsubseteq_{\mathbb{D}}$ , join  $\sqcup_{\mathbb{D}}$ , meet  $\sqcap_{\mathbb{D}}$ , the 246 least element (called bottom)  $\perp_{\mathbb{D}}$ , the greatest element (called top)  $\top_{\mathbb{D}}$ , widening  $\nabla_{\mathbb{D}}$ , and 247 narrowing  $\Delta_{\mathbb{D}}$ , as well as sound transfer functions for tests (boolean expressions) FILTER<sub>D</sub> 248 and forward assignments  $ASSIGN_{\mathbb{D}}$ . The domain  $\mathbb{D}$  employs data structures and algorithms 249 specific to the shape of invariants (analysis properties) it represents and manipulates. More 250 specifically, the concretization function  $\gamma_{\mathbb{D}}$  assigns a concrete meaning to each element in  $\mathbb{D}$ , 251 ordering  $\sqsubseteq_{\mathbb{D}}$  conveys the idea of approximation since some analysis results may be coarser 252 than some other results, whereas join  $\sqcup_{\mathbb{D}}$  and meet  $\sqcap_{\mathbb{D}}$  convey the idea of convergence since 253 a new abstract element is computed when merging control flows. To analyze loops effectively 254 and efficiently, the convergence acceleration operators such as widening  $\nabla_{\mathbb{D}}$  and narrowing  $\Delta_{\mathbb{D}}$ 255 are used. Transfer functions give abstract semantics of expressions and statements. Hence, 256  $ASSIGN_{\mathbb{D}}(d:\mathbb{D}, \mathbf{x}:=ae:Stm)$  returns an updated version of d by abstractly evaluating  $\mathbf{x}:=ae$ 257 in it, whereas  $\text{FILTER}_{\mathbb{D}}(d:\mathbb{D}, be: BExp)$  returns an abstract element from  $\mathbb{D}$  obtained 258 by restricting d to satisfy test be. In practice, the domain  $\mathbb{D}$  will be instantiated with 259 some of the known numerical domains, such as Intervals  $\langle I, \sqsubseteq_I \rangle$  [12], Octagons  $\langle O, \sqsubseteq_O \rangle$ 260 [46], and Polyhedra  $\langle P, \sqsubseteq_P \rangle$  [16]. The elements of I are intervals of the form:  $\pm x \geq \beta$ , 261 where  $x \in V, \beta \in \mathbb{Z}$ ; the elements of O are conjunctions of octagonal constraints of the form 262  $\pm x_1 \pm x_2 \geq \beta$ , where  $x_1, x_2 \in V, \beta \in \mathbb{Z}$ ; while the elements of P are conjunctions of polyhedral 263 constraints of the form  $\alpha_1 x_1 + \ldots + \alpha_k x_k + \beta \ge 0$ , where  $x_1, \ldots, x_k \in V, \alpha_1, \ldots, \alpha_k, \beta \in \mathbb{Z}$ . 264 We will sometimes write  $\mathbb{D}_V$  to explicitly denote the set of variables V over which domain  $\mathbb{D}$ 265

is defined. In this work, we use domains  $\mathbb{D}_{Var \cup \mathbb{F}}$  for leaf nodes of decision trees that are defined over both program and feature variables. The abstraction for numerical domains  $\langle \mathbb{D}_{Var \cup \mathbb{F}}, \subseteq_{\mathbb{D}} \rangle$ is formally defined by the concretization-based abstraction  $\langle \mathcal{P}(\Sigma \times \mathbb{K}), \subseteq \rangle \xleftarrow{\gamma_{\mathbb{D}}} \langle \mathbb{D}_{Var \cup \mathbb{F}}, \subseteq_{\mathbb{D}} \rangle$ . We refer to [38] for a more detailed discussion of the definition of  $\gamma_{\mathbb{D}}$  as well as other abstract operations and transfer functions for Intervals, Octagons, and Polyhedra.

#### 271 Abstract domain for decision nodes.

We introduce a family of abstract domains for linear constraints  $\mathbb{C}_{\mathbb{D}}$  defined over features  $\mathbb{F}$ , which are parameterized by any of the numerical domains  $\mathbb{D}$  (intervals *I*, octagons *O*, polyhedra *P*). For example, the finite set of *polyhedral constraints* is  $\mathbb{C}_P = \{\alpha_1 A_1 + \ldots + \alpha_n\}$ 

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<sup>275</sup>  $\alpha_k A_k + \beta \ge 0 \mid A_1, \dots, A_k \in \mathbb{F}, \alpha_1, \dots, \alpha_k, \beta \in \mathbb{Z}, \gcd(|\alpha_1|, \dots, |\alpha_k|, |\beta|) = 1\}$ . The finite set <sup>276</sup>  $\mathbb{C}_{\mathbb{D}}$  of linear constraints over features  $\mathbb{F}$  is constructed by the underlying numerical domain <sup>277</sup>  $\langle \mathbb{D}, \sqsubseteq_{\mathbb{D}} \rangle$  using the Galois connection  $\langle \mathcal{P}(\mathbb{C}_{\mathbb{D}}), \sqsubseteq_{\mathbb{D}} \rangle \xrightarrow{\gamma_{\mathbb{C}_{\mathbb{D}}}} \langle \mathbb{D}, \sqsubseteq_{\mathbb{D}} \rangle$ , where  $\mathcal{P}(\mathbb{C}_{\mathbb{D}})$  is the power <sup>278</sup> set of  $\mathbb{C}_{\mathbb{D}}$ . The concretization function  $\gamma_{\mathbb{C}_{\mathbb{D}}} : \mathbb{D} \to \mathcal{P}(\mathbb{C}_{\mathbb{D}})$  maps a conjunction of constraints <sup>279</sup> from  $\mathbb{D}$  to a finite set of constraints in  $\mathcal{P}(\mathbb{C}_{\mathbb{D}})$ .

The domain of decision nodes is  $\mathbb{C}_{\mathbb{D}}$ . We assume the set of features  $\mathbb{F} = \{A_1, \ldots, A_n\}$  to be totally ordered, such that the ordering is  $A_1 > \ldots > A_n$ . We impose a total order  $<_{\mathbb{C}_{\mathbb{D}}}$ on  $\mathbb{C}_{\mathbb{D}}$  to be the lexicographic order on the coefficients  $\alpha_1, \ldots, \alpha_n$  and constant  $\alpha_{n+1}$  of the linear constraints, such that:

$$(\alpha_1 \cdot A_1 + \ldots + \alpha_n \cdot A_n + \alpha_{n+1} \ge 0) <_{\mathbb{C}_{\mathbb{D}}} (\alpha'_1 \cdot A_1 + \ldots + \alpha'_n \cdot A_n + \alpha'_{n+1} \ge 0)$$
$$\iff \exists j > 0. \forall i < j. (\alpha_i = \alpha'_i) \land (\alpha_j < \alpha'_j)$$

The negation of linear constraints is formed as:  $\neg(\alpha_1 A_1 + \ldots \alpha_n A_n + \beta \ge 0) = -\alpha_1 A_1 - \ldots - \alpha_n A_n - \beta - 1 \ge 0$ . For example, the negation of  $A - 3 \ge 0$  is  $-A + 2 \ge 0$ . To ensure canonical representation of decision trees, a linear constraint c and its negation  $\neg c$  cannot both appear as decision nodes. Thus, we only keep the largest constraint with respect to  $<_{C_{\mathbb{D}}}$  between c and  $\neg c$ .

## 290 Abstract domain for decision trees.

284

A decision tree  $t \in \mathbb{T}(\mathbb{C}_{\mathbb{D}_{\mathbb{F}}}, \mathbb{D}_{Var \cup \mathbb{F}})$  over the sets  $\mathbb{C}_{\mathbb{D}_{\mathbb{F}}}$  of linear constraints defined over  $\mathbb{F}$ 291 and the leaf abstract domain  $\mathbb{D}_{Var \cup \mathbb{F}}$  defined over  $Var \cup \mathbb{F}$  is: either a leaf node  $\ll d \gg$ 292 with  $d \in \mathbb{D}_{Var \cup \mathbb{F}}$ , or [c: tl, tr], where  $c \in \mathbb{C}_{\mathbb{D}_{\mathbb{F}}}$  (denoted by t.c) is the smallest constraint 293 with respect to  $<_{\mathbb{C}_n}$  appearing in the tree t, tl (denoted by t.l) is the left subtree of t 294 representing its true branch, and tr (denoted by t.r) is the right subtree of t representing its 295 false branch. The path along a decision tree establishes the set of configurations (those that 296 satisfy the encountered constraints), and the leaf nodes represent the analysis properties for 297 the corresponding configurations. 298

**Example 1.** The following two decision trees  $t_1$  and  $t_2$  have decision and leaf nodes labelled with polyhedral linear constraints defined over numerical feature **A** with domain  $\mathbb{Z}$  and over integer program variable y, respectively:

#### **303** Abstract Operations.

We define the following concretization-based abstraction  $\langle \mathcal{P}(\Sigma \times \mathbb{K}), \subseteq \rangle \xleftarrow{\gamma_{\mathbb{T}}} \langle \mathbb{T}(\mathbb{C}_{\mathbb{D}}, \mathbb{D}), \subseteq_{\mathbb{T}} \rangle$ . The concretization function  $\gamma_{\mathbb{T}}$  of a decision tree  $t \in \mathbb{T}(\mathbb{C}_{\mathbb{D}}, \mathbb{D})$  returns a set of pairs  $\langle \sigma, k \rangle$ , such that  $\langle \sigma, k \rangle \in \gamma_{\mathbb{D}}(d)$  and k satisfies the set  $C \in \mathcal{P}(\mathbb{C}_{\mathbb{D}})$  of constraints accumulated along the top-down path to the leaf node  $d \in \mathbb{D}$ . More formally, the concretization function  $\gamma_{\mathbb{T}}(t) : \mathbb{T}(\mathbb{C}_{\mathbb{D}}, \mathbb{D}) \to \mathcal{P}(\Sigma \times \mathbb{K})$  is defined as:

309 
$$\gamma_{\mathbb{T}}(t) = \overline{\gamma}_{\mathbb{T}}[\mathbb{K}](t)$$

where  $\mathbb{K} \in \mathcal{P}(\mathbb{C}_{\mathbb{D}})$  is the set of configurations, i.e. the set of constraints over  $\mathbb{F}$  taking into account the domains of features. Function  $\overline{\gamma}_{\mathbb{T}} : \mathcal{P}(\mathbb{C}_{\mathbb{D}}) \to \mathbb{T}(\mathbb{C}_{\mathbb{D}}, \mathbb{D}) \to \mathcal{P}(\Sigma \times \mathbb{K})$  is defined as:

<sup>312</sup>
$$\overline{\gamma}_{\mathbb{T}}[C](\ll d \gg) = \{ \langle \sigma, k \rangle \mid \langle \sigma, k \rangle \in \gamma_{\mathbb{D}}(d), k \models C \}, \\ \overline{\gamma}_{\mathbb{T}}[C](\llbracket c:tl, tr \rrbracket) = \overline{\gamma}_{\mathbb{T}}[C \cup \{c\}](tl) \cup \overline{\gamma}_{\mathbb{T}}[C \cup \{\neg c\}](tr)$$

Algorithm 1 UNIFICATION  $(t_1, t_2, C)$ 1 if  $isLeaf(t_1) \wedge isLeaf(t_2)$  then return  $(t_1, t_2)$ ; **2** if  $isLeaf(t_1) \lor (isNode(t_1) \land isNode(t_2) \land t_2.c <_{\mathbb{C}_{\mathbb{D}}} t_1.c)$  then if isRedundant $(t_2.c, C)$  then return UNIFICATION $(t_1, t_2.l, C)$ ; 3 if isRedundant( $\neg t_2.c, C$ ) then return UNIFICATION( $t_1, t_2.r, C$ ); 4  $(l_1, l_2) = \text{UNIFICATION}(t_1, t_2.l, C \cup \{t_2.c\});$ 5  $(r_1, r_2) = \texttt{UNIFICATION}(t_1, t_2.r, C \cup \{\neg t_2.c\});$ 6 return ( $[t_2.c: l_1, r_1], [t_2.c: l_2, r_2]$ ); 7 **s** if  $isLeaf(t_2) \lor (isNode(t_1) \land isNode(t_2) \land t_1.c <_{\mathbb{C}_p} t_2.c)$  then if isRedundant $(t_1.c, C)$  then return UNIFICATION $(t_1.l, t_2, C)$ ; 9 if isRedundant( $\neg t_1.c, C$ ) then return UNIFICATION( $t_1.r, t_2, C$ ); 10  $(l_1, l_2) = \texttt{UNIFICATION}(t_1.l, t_2, C \cup \{t_1.c\});$ 11  $(r_1, r_2) = \text{UNIFICATION}(t_1.r, t_2, C \cup \{\neg t_1.c\});$ 12 return ( $[t_1.c: l_1, r_1], [t_1.c: l_2, r_2]$ ); 13 14 else if isRedundant $(t_1.c, C)$  then return UNIFICATION $(t_1.l, t_2.l, C)$ ; 15if isRedundant( $\neg t_1.c, C$ ) then return UNIFICATION( $t_1.r, t_2.r, C$ ); 16  $(l_1, l_2) = \text{UNIFICATION}(t_1.l, t_2.l, C \cup \{t_1.c\});$ 17  $(r_1, r_2) = \texttt{UNIFICATION}(t_1.r, t_2.r, C \cup \{\neg t_1.c\});$ 18 return ( $[t_1.c: l_1, r_1], [t_1.c: l_2, r_2]$ ); 19

Note that  $k \models C$  is equivalent with  $\alpha_{\mathbb{C}_{\mathbb{D}}}(\{k\}) \sqsubseteq_{\mathbb{D}} \alpha_{\mathbb{C}_{\mathbb{D}}}(C)$ , thus we can check  $k \models C$  using the abstract operation  $\sqsubseteq_{\mathbb{D}}$  of the numerical domain  $\mathbb{D}$ .

Other binary operations rely on the algorithm for tree unification [45] given in Algorithm 1, 315 which finds a common labelling of two trees  $t_1$  and  $t_2$  by forcing them to have the same 316 structure. It accumulates into the set  $C \in \mathcal{P}(\mathbb{C}_{\mathbb{D}})$  (initially equal to  $\mathbb{K}$ ) the linear constraints 317 encountered along the paths of the decision trees possibly adding new constraints as decision 318 nodes (Lines 5–7, Lines 11–13) or removing constraints that are redundant with respect 319 to C (Lines 3,4,9,10,15,16). This is done by using the function is  $\operatorname{Redundant}(c, C)$ , which 320 checks whether the linear constraint  $c \in \mathbb{C}_{\mathbb{D}}$  is redundant with respect to the set C by testing 321  $\alpha_{\mathbb{C}_{p}}(C) \sqsubseteq_{\mathbb{D}} \alpha_{\mathbb{C}_{p}}(\{c\})$ . Note that the tree unification does not lose any information. 322

**Example 2.** After tree unification of  $t_1$  and  $t_2$  from Example 1, we obtain:

$$\begin{array}{l} t_1 = [\![ \mathbf{A} \ge 4 : \ll [\![ y \ge 2]\!] \gg, [\![ \mathbf{A} \ge 2 : \ll [\![ y = 0]\!] \gg, \ll [\![ y = 0]\!] \gg ]\!] ]\!], \\ t_2 = [\![ \mathbf{A} \ge 4 : \ll [\![ y \ge 0]\!] \gg, [\![ \mathbf{A} \ge 2 : \ll [\![ y \ge 0]\!] \gg, \ll [\![ y \le 0]\!] \gg ]\!] ]\!] \end{array}$$

Note that the tree unification adds a decision node for  $A \ge 2$  to the right subtree of  $t_1$ , whereas it adds a decision node for  $A \ge 4$  to  $t_2$  and removes the redundant constraint  $A \ge 2$ from the resulting left subtree of  $t_2$ .

Some binary operations are performed leaf-wise on the unified decision trees. Given two unified decision trees  $t_1$  and  $t_2$ , their ordering  $t_1 \sqsubseteq_{\mathbb{T}} t_2$ , join  $t_1 \sqcup_{\mathbb{T}} t_2$ , and meet  $t_1 \sqcap_{\mathbb{T}} t_2$  are defined recursively:

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The top is a tree with a single  $\top_{\mathbb{D}}$  leaf:  $\top_{\mathbb{T}} = \ll \top_{\mathbb{D}} \gg$ , while the bottom is a tree with a single  $\downarrow_{\mathbb{D}}$  leaf:  $\perp_{\mathbb{T}} = \ll \perp_{\mathbb{D}} \gg$ .

▶ Example 3. Consider the unified trees  $t_1$  and  $t_2$  from Example 2. We have that  $t_1 \sqsubseteq_{\mathbb{T}} t_2$ holds,  $t_1 \sqcup_{\mathbb{T}} t_2 = \llbracket \mathbb{A} \ge 4 : \ll [y \ge 0] \gg$ ,  $\llbracket \mathbb{A} \ge 2 : \ll [y \ge 0] \gg$ ,  $\ll [y \le 0] \gg \rrbracket \rrbracket$ , and  $t_1 \sqcap_{\mathbb{T}} t_2 = \llbracket \mathbb{A} \ge 4 : \ll [y \ge 2] \gg$  $, \llbracket \mathbb{A} \ge 2 : \ll [y = 0] \gg$ ,  $\ll [y = 0] \gg \rrbracket \rrbracket$ .

<sup>337</sup> The concretization function  $\gamma_{\mathbb{T}}$  is monotonic with respect to the ordering  $\sqsubseteq_{\mathbb{T}}$ .

 $\mathbf{J38} \quad \blacktriangleright \text{ Lemma 4. } \forall t_1, t_2 \in \mathbb{T}(\mathbb{C}_{\mathbb{D}}, \mathbb{D}): \ t_1 \sqsubseteq_{\mathbb{T}} t_2 \implies \gamma_{\mathbb{T}}(t_1) \subseteq \gamma_{\mathbb{T}}(t_2).$ 

**Proof.** Let  $t_1, t_2 \in \mathbb{T}$  such that  $t_1 \sqsubseteq_{\mathbb{T}} t_2$ . The ordering  $\sqsubseteq_{\mathbb{T}}$  between decision trees is 339 implemented by first calling the tree unification algorithm, and then by comparing the 340 decision trees "leaf-wise". Tree unification forces the same structure on decision trees, so 341 all paths to the leaf nodes coincide between the unified decision trees. Let  $C \in \mathcal{P}(\mathbb{C}_{\mathbb{D}})$ 342 denote the set of linear constraints satisfied along a path of the unified decision trees, and let 343  $d_1, d_2 \in \mathbb{D}_{Var \cup \mathbb{F}}$  denote the leaf nodes reached following the path C within the first and the 344 second decision tree. Since  $t_1 \sqsubseteq_{\mathbb{T}} t_2$ , we have that  $d_1 \sqsubseteq_{\mathbb{D}} d_2$  and so  $\gamma_{\mathbb{D}}(d_1) \subseteq \gamma_{\mathbb{D}}(d_2)$ . The 345 proof follows from:  $\{\langle \sigma, k \rangle \mid \langle \sigma, k \rangle \in \gamma_{\mathbb{D}}(d_1), k \models C\} \subseteq \{\langle \sigma, k \rangle \mid \langle \sigma, k \rangle \in \gamma_{\mathbb{D}}(d_2), k \models C\}.$ 346

## 347 Basic Transfer functions.

We define basic lifted transfer functions for forward assignments  $(ASSIGN_T)$  and tests (FILTER<sub>T</sub>), when only program variables occur in given assignments and tests (boolean expressions). Those basic transfer functions  $ASSIGN_T$  and  $FILTER_T$  modify only leaf nodes since the analysis information about program variables is located in leaf nodes while the information about features is located in both decision nodes and leaf nodes.

**Algorithm 2** ASSIGN<sub>T</sub> $(t, \mathbf{x} := ae, C)$  when  $vars(ae) \subseteq Var$ 

Algorithm 3 FILTER<sub>T</sub>(t, be, C) when  $vars(be) \subseteq Var$ 

1 if isLeaf(t) then return  $\ll FILTER_{\mathbb{D}_{Var\cup \mathbb{F}}}(t, be) \gg$ ; 2 if isNode(t) then 3  $l = FILTER_{\mathbb{T}}(t.l, be, C \cup \{t.c\})$ ; 4  $r = FILTER_{\mathbb{T}}(t.r, be, C \cup \{\neg t.c\})$ ; 5 return [t.c: l, r]

Basic transfer function  $ASSIGN_{\mathbb{T}}$  for handling an assignment  $\mathbf{x}:=ae$  is described by Algorithm 2. Note that  $\mathbf{x} \in Var$  is a program variable, and  $ae \in AExp$  may contain only program variables, i.e. the set of variables that occur in ae is  $vars(ae) \subseteq Var$ .  $ASSIGN_{\mathbb{T}}$ descends along the paths of the decision tree t up to a leaf node d, where  $ASSIGN_{\mathbb{D}_{Var\cup \mathbb{F}}}$  is invoked to substitute expression ae for variable  $\mathbf{x}$  in d. Similarly, basic transfer function

Algorithm 4 FILTER<sub>T</sub>(t, be, C) when  $vars(be) \subseteq \mathbb{F}$ 

FILTER<sub>T</sub> for handling tests  $be \in BExp$  when  $vars(be) \subseteq Var$ , given in Algorithm 3, is implemented by applying FILTER<sub>D</sub><sub>VarUF</sub> leaf-wise, so that be is satisfied by all leaves.

Note that, in program families with static feature binding, features occur only in presence 360 conditions (tests) of #if directives. Thus, special transfer functions FEAT-FILTER<sub>T</sub> for 361 feature-based tests and IFDEF<sub> $\mathbb{T}$ </sub> for **#if** directives are defined in [21], which can add, modify, 362 or delete decision nodes of a decision tree. Therefore, the basic transfer function  $\text{FILTER}_{\mathbb{T}}$ 363 for handling tests  $be \in BExp$  when  $vars(be) \subseteq \mathbb{F}$  coincides with FEAT-FILTER<sub>T</sub> in [21], 364 and is given in Algorithm 4. It reasons by induction on the structure of be. When be is a 365 comparison of arithmetic expressions (Lines 2,3), we use  $\text{FILTER}_{\mathbb{D}_{\mathbb{F}}}$  to approximate be, thus 366 producing a set of constraints J, which are then added to the tree t, possibly discarding 367 all paths of t that do not satisfy be. This is done by calling function RESTRICT(t, C, J), 368 which adds linear constraints from J to t in ascending order with respect to  $<_{\mathbb{C}_n}$  as shown 369 in Algorithm 5. Note that be may not be representable exactly in  $\mathbb{C}_{\mathbb{D}}$  (e.g., in the case of 370 non-linear constraints over  $\mathbb{F}$ ), so FILTER<sub>D<sub>F</sub></sub> may produce a set of constraints approximating 371 it. When be is a conjunction (resp., disjunction) of two feature expressions (Lines 4,5) (resp., 372 (Lines 6,7)), the resulting decision trees are merged by operation meet  $\sqcap_{\mathbb{T}}$  (resp., join  $\sqcup_{\mathbb{T}}$ ). 373

The above transfer function and some of the remaining operations rely on function 374 **RESTRICT** given in Algorithm 5 for constraining a decision tree t with respect to a given set J375 of linear constraints over  $\mathbb{F}$ . The subtrees whose paths from the root satisfy these constraints 376 are preserved, while leafs of the other subtrees are replaced with bottom  $\perp_{\mathbb{D}}$ . Function 377  $\operatorname{RESTRICT}(t, C, J)$  takes as input a decision tree t, a set C of constraints accumulated along 378 paths up to a node, a set J of linear constraints in canonical form that need to be added to 379 t. For each constraint  $j \in J$ , there exists a boolean  $b_j$  that shows whether the tree should be 380 constrained with respect to j ( $b_j$  is set to true) or with respect to  $\neg j$  ( $b_j$  is set to false). At 381 each iteration, the smallest linear constraint j is extracted from J (Line 9), and is handled 382 appropriately based on whether j is smaller or equal (Line 11-15), or greater (Line 17-21) to 383 the constraint at the node of t we currently consider. 384

# **4.2 Extended transfer functions**

We now define extended transfer functions  $ASSIGN_T$  and  $FILTER_T$  where assignments and tests may contain both feature and program variables.

## **Assignments.**

<sup>389</sup> Transfer function ASSIGN<sub>T</sub>(t, x:=ae, C), when vars(ae)  $\subseteq Var \cup \mathbb{F}$ , is given in Algorithm 6.

 $_{390}$  It accumulates the constraints along the paths in the decision tree t in a set of constraints

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```
Algorithm 5 RESTRICT(t, C, J)
   if isEmpty(J) then
 1
         if isLeaf(t) then return t;
 \mathbf{2}
         if isRedundant(t.c, C) then return RESTRICT(t.l, C, J);
 3
         if isRedundant(\neg t.c, C) then return RESTRICT(t.r, C, J);
 4
         l = \text{RESTRICT}(t.l, C \cup \{t.c\}, J);
 5
         r = \text{RESTRICT}(t.r, C \cup \{\neg t.c\}, J);
 6
         return ([t.c:l,r]);
 7
 8 else
         j = \min_{<_{\mathbb{C}_{\mathbb{D}}}}(J) ;
 9
         if isLeaf(t) \lor (isNode(t) \land j \leq_{\mathbb{C}_{\mathbb{D}}} t.c) then
10
              if isRedundant(j, C) then return RESTRICT(t, C, J \setminus \{j\});
11
              if isRedundant(\neg j, C) then return \ll \bot_{\mathbb{A}} \gg;
12
              if j =_{\mathbb{C}_{\mathbb{D}}} t.c then (if b_j then t = t.l else t = t.r);
13
              if b_j then return ([j : \texttt{RESTRICT}(t, C \cup \{j\}, J \setminus \{j\}), \ll \bot_{\mathbb{A}});
14
              else return ([j:\ll \bot_{\mathbb{A}}), RESTRICT(t, C \cup \{\neg j\}, J \setminus \{j\})]);
15
         else
\mathbf{16}
              if isRedundant(t.c, C) then return RESTRICT(t.l, C, J);
17
              if isRedundant(\neg t.c, C) then return RESTRICT(t.r, C, J);
18
              l = \text{RESTRICT}(t.l, C \cup \{t.c\}, J);
\mathbf{19}
              r = \texttt{RESTRICT}(t.r, C \cup \{\neg t.c\}, J);
20
              return (\llbracket t.c:l,r \rrbracket);
21
```

<sup>391</sup>  $C \in \mathcal{P}(\mathbb{C}_{\mathbb{D}})$  (Lines 8–10), which is initialized to  $\mathbb{K}$ , up to the leaf nodes in which assignment <sup>392</sup> is performed by ASSIGN<sub>D<sub>VarUF</sub></sub>. That is, we first merge constraints from the leaf node t<sup>393</sup> defined over  $Var \cup \mathbb{F}$  and constraints from decision nodes  $C \in \mathcal{P}(\mathbb{C}_{D_{\mathbb{F}}})$  defined over  $\mathbb{F}$ , by using <sup>394</sup>  $\biguplus_{Var \cup \mathbb{F}}$  operator. Thus, we obtain an abstract element from  $\mathbb{D}_{Var \cup \mathbb{F}}$  on which the assignment <sup>395</sup> operator of the domain  $\mathbb{D}_{Var \cup \mathbb{F}}$  is applied (Line 2).

Transfer function ASSIGN<sub>T</sub>(t, A:=ae, C), when vars $(ae) \subseteq Var \cup \mathbb{F}$ , is implemented by 396 Algorithm 7. It calls the auxiliary function ASSIGN-AUX<sub>T</sub>(t, A:=ae, C), which performs the 397 assignment on each leaf node t merged with the set of linear constraints C collected along the 398 path to the leaf (Line 6). The obtained result d' is a new leaf node (Line 7), and furthermore 399 400  $J = \gamma_{\mathbb{C}_{\mathbb{D}}}(d' \models)$  that needs to be substituted to C in the decision tree (Lines 8–13). The 401 substitution is done at each decision node, such that new sets of constraints  $J_1$  and  $J_2$  are 402 collected from its left and right subtrees, and then they are used as constraints in the given 403 decision node instead of t.c and  $\neg t.c$ . Let  $J = J_1 \cap J_2$  be the common (overlapping) set of 404 constraints that arise due to non-determinism (Line 11). When both  $J_1 \setminus J$  and  $J_2 \setminus J$  are 405 empty, the left and the right subtrees are joined (Line 12). Otherwise, the corresponding 406 tree is constructed using sets  $J_1 \setminus J$  and  $J_2 \setminus J$  and together with the set J are propagated to 407 the parent node (Line 13). Note that, if some of the sets of constraints  $J, J_1 \setminus J$ , and  $J_2 \setminus J$  is 408 empty in the returned trees in Lines 12-13, then it is considered as a true constraint so that 409 its true branch is always taken. 410

Algorithm 6 ASSIGN<sub>T</sub> $(t, \mathbf{x} := ae, C)$  when  $vars(ae) \subseteq Var \cup \mathbb{F}$ 

```
1 if isLeaf(t) then

2 d' = ASSIGN_{\mathbb{D}_{Var\cup\mathbb{F}}}(t \uplus_{Var\cup\mathbb{F}} \alpha_{\mathbb{C}\mathbb{D}}(C), \mathbf{x}:=ae);

3 \mathbf{return} \ll d' \gg

4 if isNode(t) then

5 l = ASSIGN_{\mathbb{T}}(t.l, \mathbf{x}:=ae, C \cup \{t.c\});

6 r = ASSIGN_{\mathbb{T}}(t.r, \mathbf{x}:=ae, C \cup \{\neg t.c\});

7 \mathbf{return} [t.c:l,r]
```

Algorithm 7 ASSIGN<sub>T</sub>(t, A:=ae, C) when  $vars(ae) \subseteq Var \cup \mathbb{F}$ 

```
1 (t,d) = ASSIGN-AUX<sub>T</sub>(t, A:=ae, C)
 2 return t
 3
 4 Function ASSIGN-AUX<sub>T</sub>(t, A := ae, C):
              if isLeaf(t) then
  5
                      d' = \operatorname{ASSIGN}_{\mathbb{D}_{\operatorname{Var} \cup \mathbb{F}}}(t \uplus_{\operatorname{Var} \cup \mathbb{F}} \alpha_{\mathbb{C}_{\mathbb{D}}}(C), \operatorname{A:=} ae)
  6
                     return (\ll d' \gg, \gamma_{\mathbb{C}_{\mathbb{P}}}(d' \upharpoonright_{\mathbb{F}}))
  7
              if isNode(t) then
  8
                      (t_1, J_1) = \text{ASSIGN}-\text{AUX}_{\mathbb{T}}(t.l, \texttt{A}:=ae, C \cup \{t.c\})
  9
                      (t_2, J_2) = \texttt{ASSIGN-AUX}_{\mathbb{T}}(t.r, \texttt{A}:=ae, C \cup \{\neg t.c\})
10
                      J = J_1 \cap J_2
11
                     if \operatorname{isEmpty}(J_1 \setminus J) \wedge \operatorname{isEmpty}(J_2 \setminus J) then return (\llbracket J, t_1 \sqcup_{\mathbb{T}} t_2, \bot_{\mathbb{T}} \rrbracket, \emptyset)
12
                      else return (\llbracket J_1 \setminus J, t_1, \llbracket J_2 \setminus J, t_2, \bot_{\mathbb{T}} \rrbracket], J)
13
```

## 411 Tests.

Transfer function  $\text{FILTER}_{\mathbb{T}}(t, be, C)$ , when  $\text{vars}(be) \subseteq Var \cup \mathbb{F}$ , is described by Algorithm 8. 412 Similarly to  $ASSIGN_{\mathbb{T}}(t, \mathbf{x}:=ae, C)$  in Algorithm 6, it accumulates the constraints along the 413 paths in the decision tree t in a set of constraints  $C \in \mathcal{P}(\mathbb{C}_{\mathbb{D}})$  up to the leaf nodes (Lines 414 6–9). When t is a leaf node, test be is handled using  $\text{FILTER}_{\mathbb{D}_{Var\cup \mathbb{F}}}$  applied on an abstract 415 element from  $\mathbb{D}_{Var \cup \mathbb{F}}$  obtained by merging constraints in the leaf node and decision nodes 416 along the path to the leaf (Lines 2). The obtained result d' represents a new leaf node, and 417 furthermore d' is projected on feature variables using  $\mid_{\mathbb{F}}$  operator to generate a new set of 418 constraints J that is added to the given path to d' (Lines 3–5). 419

Note that the trees returned by  $ASSIGN_{\mathbb{T}}(t, \mathbf{x}:=ae, C)$ ,  $ASSIGN_{\mathbb{T}}(t, \mathbf{A}:=ae, C)$ , and FILTER<sub>T</sub>(t, be, C) are sorted (normalized) to remove possible multiple occurrences of a constraint c, possible occurrences of both c and  $\neg c$ , and possible ordering inconsistences. Moreover, the obtained decision trees may contain some redundancy that can be exploited to further compress them. We use several optimizations [21, 45]. E.g., if constraints on a path to some leaf are unsatisfiable, we eliminate that leaf node; if a decision node contains two same subtrees, then we keep only one subtree and we also eliminate the decision node, etc. **Algorithm 8** FILTER<sub>T</sub>(t, be, C) when vars $(be) \subseteq Var \cup \mathbb{F}$ 

1 if isLeaf(t) then 2  $d' = \text{FILTER}_{\mathbb{D}_{Var \cup \mathbb{F}}}(t \uplus_{Var \cup \mathbb{F}} \alpha_{\mathbb{C}_{\mathbb{D}}}(C), be);$ 3  $J = \gamma_{\mathbb{C}_{\mathbb{D}}}(d' \upharpoonright_{\mathbb{F}});$ 

4 if isRedundant(J, C) then return  $\ll d' \gg$ ;

- 5 else return RESTRICT( $\ll d' \gg, C, J \setminus C$ );
- 6 if isNode(t) then

428

- 7  $l = \text{FILTER}_{\mathbb{T}}(t.l, be, C \cup \{t.c\});$
- **s**  $r = \text{FILTER}_{\mathbb{T}}(t.r, be, C \cup \{\neg t.c\});$
- 9 | return  $\llbracket t.c:l,r \rrbracket$

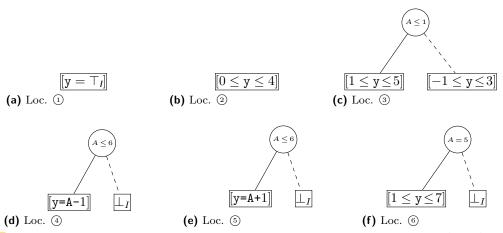
 $_{427}$  **Example 5.** Let us consider the following dynamic program family P':

int y := [0,4];
 if (A < 2) y := y+1; else y := y-1;</li>
 A := y+1;
 y := A+1;
 A := 5; 6

The code base of P' contains only one program variable  $Var = \{y\}$  and one numerical feature 429  $\mathbb{F} = \{A\}$  with domain dom(A) = [0, 99]. In Fig. 5 we depict decision trees inferred by 430 performing *polyhedral* lifted analysis using the lifted domain  $\mathbb{T}(\mathbb{C}_P, P)$ . We use FILTER<sub>T</sub> 431 from Algorithm 4 to analyze statement at location (2) and infer the decision tree at location 432 (3). Then, we use ASSIGN<sub>T</sub> from Algorithm 7 to analyze the statement A := y+1 at (3) and 433 infer the tree at location ④. Note that, by using the left and right leafs in the input tree at 434 (3), we generate constraint sets  $J_1 = (2 \le A \le 6)$  and  $J_2 = (0 \le A \le 4)$  with the same leaf 435 nodes [y=A-1]. After applying reductions, we obtain the tree at location (4). Recall that we 436 implicitly assume the correctness of linear constraints  $\mathbb K$  that take into account domains of 437 features. Hence, node  $(A \leq 6)$  is satisfied when  $(A \leq 6) \land (0 \leq A \leq 99)$ , where constraint 438  $(0 \le A \le 99)$  represents the domains of A. Finally, statement y := A+1 at location (4) is 439 analyzed using Algorithm 6 such that all leafs in the input tree are updated accordingly, 440 whereas statement A := 5 at location (5) is analyzed using Algorithm 7 such that all leafs in 441 the input tree along the paths to them are joined to create new leaf that satisfies (A = 5). 442

# 443 4.3 Widening

The widening operator  $\nabla_{\mathbb{T}}$  is necessary in order to extrapolate an analysis property over 444 configurations (values of features) and stores (values of program variables) on which it is not 445 yet defined. Hence, it provides a way to handle (potentially) infinite reconfiguration of features 446 inside loops. The widening  $t_1 \nabla_{\mathbb{T}} t_2$  is implemented by calling function  $\text{WIDEN}_{\mathbb{T}}(t_1, t_2, \mathbb{K})$ , 447 where  $t_1$  and  $t_2$  are two decision trees and K is the set of valid configurations. Function 448 WIDEN<sub>T</sub>, given in Algorithm 9, first calls function LEFT\_UNIFICATION (Line 1) that performs 449 widening of the configuration space (i.e., decision nodes), and then extrapolates the value 450 of leafs by calling function WIDEN\_LEAF (Line 2). Function LEFT\_UNIFICATION (Lines 4-17) 451 limits the size of decision trees, and thus avoids infinite sequences of partition refinements. 452 It forces the structure of  $t_1$  on  $t_2$ . This way, there may be information loss by applying 453 this function. LEFT\_UNIFICATION accumulates into a set C (initially equal to  $\mathbb{K}$ ) the linear 454 constraints along the paths in the first decision tree, possibly adding nodes to the second 455



**Figure 5** Decision tree-based (polyhedral) invariants at program locations from 1 to 6 of P'.

tree (Lines 10–17), or removing decision nodes from the second tree in which case the left and the right subtree are joined (Lines 6–9), or removing constraints that are redundant (Lines 7,8 and 11,12). Finally, function WIDEN\_LEAF (Line 18–23) applies the widening  $\nabla_{\mathbb{D}}$ leaf-wise on the left unified decision trees.

**Example 6.** Consider the following two decision trees  $t_1$  and  $t_2$ :

$$\begin{array}{l} t_1 = [\![ \mathbf{A} \! > \! 1 : [\![ \mathbf{A} \! > \! 5 : \! \ll \! [y \! \ge \! 0] ] \!\! \gg \!\! , \ll \! [y \! \le \! 0] \!\! \gg \!\! ] \!\! , \ll \! [y \! = \! 0] \!\! \gg \!\! ] \\ t_2 = [\![ \mathbf{A} \! > \! 2 : \! \ll \! [y \! = \! 1] \!\! \gg \!\! , \ll \! [y \! > \! 1] \!\! \gg \!\! ] \!\! \end{array} \end{array}$$

<sup>462</sup> After applying the left unification of  $t_1$  and  $t_2$ , the tree  $t_2$  becomes:

463 
$$t_2 = [\![A > 1 : [\![A > 5 : \ll[y = 1]]\!], \ll[y \ge 1]\!], \ll[y > 1]\!]$$

<sup>464</sup> Note that when (A > 1) and  $\neg(A > 5)$ , the left and right leafs of the input  $t_2$  are joined, thus <sup>465</sup> yielding the leaf  $[y \ge 1]$  in the left-unified  $t_2$ . This represents an example of information-loss <sup>466</sup> in a left-unified tree. After applying the leaf-wise widening of  $t_1$  and left-unified  $t_2$ , we obtain:

467 
$$t = [\![\mathbf{A} > 1 : [\![\mathbf{A} > 5 : \ll[y \ge 0]\!] \gg, \ll \top \gg ]\!], \ll[y \ge 0]\!] \gg ]\!]$$

## 468 4.4 Soundness

The operations and transfer functions of the decision tree lifted domain  $\mathbb{T}(\mathbb{C}_{\mathbb{D}}, \mathbb{D})$  can now be used to define the abstract invariance semantics. In Fig. 6, we define the *abstract invariance semantics*  $[\![s]\!]^{\natural} : \mathbb{T} \to \mathbb{T}$  for each statement *s*. Function  $[\![s]\!]^{\natural}$  takes as input a decision tree over-approximating the set of reachable states at the initial location of statement *s*, and outputs a decision tree that over-approximates the set of reachable states at the final location od *s*. For a while loop,  $\mathrm{lfp}^{\natural} \phi^{\natural}$  is the limit of the following increasing chain defined by the widening operator  $\nabla_{\mathbb{T}}$  (note that,  $t_1 \nabla_{\mathbb{T}} t_2 = \mathrm{WIDEN}_{\mathbb{T}}(t_1, t_2, \mathbb{K})$ ):

476 
$$y_0 = \bot_{\mathbb{T}}, \quad y_{n+1} = y_n \, \nabla_{\mathbb{T}} \, \phi^{\natural}(y_n)$$

The lifted analysis (abstract invariance semantics) of a dynamic program family s is defined as  $[\![s]\!]^{\natural}t_{in}$ , where the input tree  $t_{in}$  at the initial location has only one leaf node  $\top_{\mathbb{D}}$  and decision nodes define the set  $\mathbb{K}$ . Note that  $t_{in} = \ll \top_{\mathbb{D}} \gg$  if there are no constraints in  $\mathbb{K}$ . This way, by calculating  $[\![s]\!]^{\natural}t_{in}$  we collect the possible invariants in the form of decision trees at all program locations.

```
Algorithm 9 WIDEN<sub>T</sub>(t_1, t_2, C)
 1 (t_1, t_2) = \text{LEFT}_UNIFICATION(t_1, t_2, C)
 2 return WIDEN_LEAF(t_1, t_2, C)
 3
 4 Function LEFT_UNIFICATION(t_1, t_2, C):
         if isLeaf(t_1) \wedge isLeaf(t_2) then return (t_1, t_2)
 5
        if isLeaf(t_1) \lor (isNode(t_1) \land isNode(t_2) \land t_2.c <_{\mathbb{C}_{\mathbb{D}}} t_1.c) then
 6
             if isRedundant(t_2.c, C) then return LEFT_UNIFICATION(t_1, t_2.l, C)
 7
 8
             if isRedundant(\neg t_2.c, C) then return LEFT_UNIFICATION(t_1, t_2.r, C)
             return LEFT_UNIFICATION(t_1, t_2.l \sqcup_T t_2.r, C)
 9
        if isLeaf(t_2) \lor (isNode(t_1) \land isNode(t_2) \land t_1.c \leq_{\mathbb{C}_n} t_2.c) then
10
             if isRedundant(t_1.c, C) then return UNIFICATION(t_1.l, t_2, C)
11
             if isRedundant(\neg t_1.c, C) then return UNIFICATION(t_1.r, t_2, C)
12
             if t_1.c <_{\mathbb{C}_D} t_2.c then t_{21} = t_2; t_{22} = t_2;
\mathbf{13}
             else t_{21} = t_2.l; t_{22} = t_2.r;
14
             (l_1, l_2) = \texttt{UNIFICATION}(t_1.l, t_{21}, C \cup \{t_1.c\})
\mathbf{15}
             (r_1, r_2) = \texttt{UNIFICATION}(t_1.r, t_{22}, C \cup \{\neg t_1.c\})
16
             return ([t_1.c:l_1,r_1], [t_1.c:l_2,r_2])
17
18 Function WIDEN_LEAF(t_1, t_2, C):
        if isLeaf(t_1) \wedge isLeaf(t_2) then return (\ll t_1 \nabla_{\mathbb{D}} t_2 \gg)
19
        if isNode(t_1) \wedge isNode(t_2) then
\mathbf{20}
21
             l = \texttt{WIDEN\_LEAF}(t_1.l, t_2.l, C \cup \{t_1.c\})
             r = \texttt{WIDEN\_LEAF}(t_1.r, t_2.r, C \cup \{\neg t_1.c\})
\mathbf{22}
             return ([t_1.c:l,r])
23
```

 $\llbracket skip \rrbracket^{\natural} t = t$  $[\mathbf{x} := ae]^{\natural} t = \text{ASSIGN}_{\mathbb{T}}(t, \mathbf{x} := ae, \mathbb{K})$  $[s_1; s_2]^{\natural} t = [s_2]^{\natural} ([s_1]^{\natural} t)$  $[if be then s_1 else s_2]^{\natural} t = [s_1]^{\natural} (FILTER_{\mathbb{T}}(t, be, \mathbb{K})) \sqcup_{\mathbb{T}} [s_2]^{\natural} (FILTER_{\mathbb{T}}(t, \neg be, \mathbb{K}))$ [while be do s]<sup> $\natural$ </sup>t = FILTER<sub>T</sub>(lfp<sup> $\natural$ </sup> $\phi^{\natural}$ ,  $\neg be$ , K)  $\phi^{\natural}(x) = t \sqcup_{\mathbb{T}} [\![s]\!]^{\natural}(\mathsf{FILTER}_{\mathbb{T}}(x, be, \mathbb{K}))$  $\llbracket A := ae \rrbracket^{\natural} t = ASSIGN_{\mathbb{T}}(t, A := ae, \mathbb{K})$ 

**Figure 6** Abstract invariance semantics  $[s]^{\natural} : \mathbb{T} \to \mathbb{T}$ .

We can establish soundness of the abstract invariant semantics  $[s]^{\natural}t_{in} \in \mathbb{T}(\mathbb{C}_{\mathbb{D}}, \mathbb{D})$  with 482 respect to the invariance semantics  $[s]\langle \Sigma, \mathbb{K}\rangle \in \mathcal{P}(\Sigma \times \mathbb{K})$ , where  $\langle \Sigma, \mathbb{K}\rangle = \{\langle \sigma, k \rangle \mid \sigma \in \Sigma, k \in \mathbb{K}\}$ 483  $\mathbb{K}$ }, by showing that  $[s]\langle \Sigma, \mathbb{K}\rangle \subseteq \gamma_{\mathbb{T}}([s]]^{\natural}t_{in})$ . This is done by proving the following result.<sup>2</sup> 484

▶ Theorem 7 (Soundness).  $\forall t \in \mathbb{T}(\mathbb{C}_{\mathbb{D}}, \mathbb{D}) : [s]\gamma_{\mathbb{T}}(t) \subseteq \gamma_{\mathbb{T}}([s]^{\natural}t).$ 485

**Proof.** The proof is by structural induction on s. We consider the most interesting cases. 486 Case skip.  $[skip]\gamma_{\mathbb{T}}(t) = \gamma_{\mathbb{T}}(t) = \gamma_{\mathbb{T}}([skip]]^{\natural}t).$ 487

**Case** x:=ae. Let  $\langle \sigma, k \rangle \in \gamma_{\mathbb{T}}(t)$ . By definition of [x := ae] in Fig. 4, it holds that 488  $\langle \sigma[\mathbf{x} \mapsto n], k \rangle \in [\![\mathbf{x} := ae]\!] \gamma_{\mathbb{T}}(t)$  for all  $n \in [\![ae]\!] \langle \sigma, k \rangle$ . Since  $\langle \sigma, k \rangle \in \gamma_{\mathbb{T}}(t)$ , there must be 489 a leaf node d of t and a set of constraints C collected along the path to d, such that 490  $\langle \sigma, k \rangle \in \gamma_{\mathbb{D}}(d) \land k \models C$ . By definition of the abstraction  $\langle \mathcal{P}(\Sigma \times \mathbb{K}), \subseteq \rangle \xleftarrow{\gamma_{\mathbb{D}}} \langle \mathbb{D}_{Var \cup \mathbb{F}}, \subseteq_{\mathbb{D}} \rangle$ , 491 the soundness of  $ASSIGN_{\mathbb{D}_{Var\cup F}}$ , and by definition of  $ASSIGN_{\mathbb{T}}$  (cf. Algorithms 2 and 6), it 492 must hold  $\langle \sigma[\mathbf{x} \mapsto n], k \rangle \in \gamma_{\mathbb{T}}(\text{ASSIGN}_{\mathbb{T}}(t, \mathbf{x} := ae, \mathbb{K}))$  due to the fact that Algorithms 2 493 and 6 invoke  $ASSIGN_{Vart,F}$  for every leaf node of t that may be merged with linear con-494 straints from decision nodes found on the path from the root to that leaf. Thus, we 495 conclude  $[x := ae]\gamma_{\mathbb{T}}(t) \subseteq \gamma_{\mathbb{T}}(ASSIGN_{\mathbb{T}}(t, x := ae, \mathbb{K})) = \gamma_{\mathbb{T}}([x := ae]^{\natural}t).$ Case if be then  $s_1$  else  $s_2$ . Let  $\langle \sigma, k \rangle \in \gamma_{\mathbb{T}}(t)$  and  $\langle \sigma', k' \rangle \in [$ if be then  $s_1$  else  $s_2][\langle \sigma, k \rangle]$ . 497 By structural induction, we have that  $[s_1]\gamma_{\mathbb{T}}(t') \subseteq \gamma_{\mathbb{T}}([s_1]]^{\natural}t')$  and  $[s_2]\gamma_{\mathbb{T}}(t') \subseteq \gamma_{\mathbb{T}}([s_2]]^{\natural}t')$ 498 for any t'. By definition of [if be then  $s_1$  else  $s_2$ ] in Fig. 4, we have that  $\langle \sigma', k' \rangle \in$ 499  $[s_1]$ { $\langle \sigma, k \rangle$ } if true  $\in [be]$  $\langle \sigma, k \rangle$  or  $\langle \sigma', k' \rangle \in [s_2]$ { $\langle \sigma, k \rangle$ } if false  $\in [be]$  $\langle \sigma, k \rangle$ . Since 500  $\langle \sigma, k \rangle \in \gamma_{\mathbb{T}}(t)$ , there must be a leaf node d of t and a set of constraints C collected 501 along the path to d, such that  $\langle \sigma, k \rangle \in \gamma_{\mathbb{D}}(d) \land k \models C$ . By definition of the abstraction

FILTER<sub>T</sub> (cf. Algorithms 2, 4, and 8), it must hold that  $\langle \sigma, k \rangle \in \gamma_{\mathbb{T}}(\text{FILTER}_{\mathbb{T}}(t, be, \mathbb{K}))$  or  $\langle \sigma, k \rangle \in \gamma_{\mathbb{T}}(\mathsf{FILTER}_{\mathbb{T}}(t, \neg be, \mathbb{K}))$  due to the fact that these Algorithms invoke  $\mathsf{FILTER}_{\mathbb{D}_{Vart \mid \mathbb{F}}}$ for every leaf node of t that may be merged with linear constraints from decision nodes found on the path from the root to that leaf. Thus, by structural induction, we have  $\langle \sigma', k' \rangle \in \gamma_{\mathbb{T}}([s_1]]^{\natural}$ FILTER<sub>T</sub> $(t, be, \mathbb{K})$ ) or  $\langle \sigma', k' \rangle \in \gamma_{\mathbb{T}}([s_2]]^{\natural}$ FILTER<sub>T</sub> $(t, \neg be, \mathbb{K})$ ), and so  $\langle \sigma', k' \rangle \in \gamma_{\mathbb{T}}(\llbracket s_1 \rrbracket^{\natural} \mathsf{FILTER}_{\mathbb{T}}(t, be, \mathbb{K}) \sqcup_{\mathbb{T}} \llbracket s_2 \rrbracket^{\natural} \mathsf{FILTER}_{\mathbb{T}}(t, \neg be, \mathbb{K})).$  Thus, we conclude that

 $\langle \mathcal{P}(\Sigma \times \mathbb{K}), \subseteq \rangle \xleftarrow{\gamma_{\mathbb{D}}} \langle \mathbb{D}_{Var \cup \mathbb{F}}, \subseteq_{\mathbb{D}} \rangle$ , the soundness of  $\mathsf{FILTER}_{\mathbb{D}_{Var \cup \mathbb{F}}}$ , and by definition of

509  $[if be then s_1 else s_2] \gamma_{\mathbb{T}}(t) \subseteq \gamma_{\mathbb{T}}([s_1]^{\natural} \mathsf{FILTER}_{\mathbb{T}}(t, be, \mathbb{K}) \sqcup_{\mathbb{T}} [s_2]^{\natural} \mathsf{FILTER}_{\mathbb{T}}(t, \neg be, \mathbb{K})) =$ 510  $\gamma_{\mathbb{T}}(\llbracket \text{if } be \text{ then } s_1 \text{ else } s_2 \rrbracket^{\natural} t).$ 511

502

503

504

505

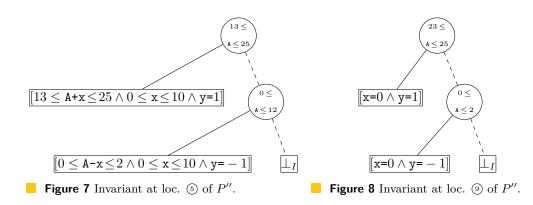
506

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508

**Case** while e do s. We show that, given a  $t \in \mathbb{T}$ , for all  $x \in \mathbb{T}$ , we have:  $\phi(\gamma_{\mathbb{T}}(x)) \subseteq$ 512  $\gamma_{\mathbb{T}}(\phi^{\natural}(x))$ . By structural induction, we have  $[s]\gamma_{\mathbb{T}}(x) \subseteq \gamma_{\mathbb{T}}([s]^{\natural}x)$ . 513

<sup>&</sup>lt;sup>2</sup> Note that  $\gamma_{\mathbb{T}}(t_{in}) = \langle \Sigma, \mathbb{K} \rangle$ .



Let  $\langle \sigma, k \rangle \in \gamma_{\mathbb{T}}(x)$  and  $\langle \sigma', k' \rangle \in \phi(\gamma_{\mathbb{T}}(x))$ . By definition of  $\phi(x)$  in Fig. 4, we have 514 that  $\langle \sigma', k' \rangle \in [s] \{ \langle \sigma, k \rangle \}$  and true  $\in [be] \langle \sigma, k \rangle$ . By definition of the abstraction 515  $\langle \mathcal{P}(\Sigma \times \mathbb{K}), \subseteq \rangle \xleftarrow{\gamma_{\mathbb{D}}} \langle \mathbb{D}_{Var \cup \mathbb{F}}, \subseteq_{\mathbb{D}} \rangle$ , the soundness of  $\mathsf{FILTER}_{\mathbb{D}_{Var \cup \mathbb{F}}}$ , and by definition of 516 FILTER<sub>T</sub> (cf. Algorithms 2, 4, and 8), it must hold that  $\langle \sigma, k \rangle \in \gamma_{\mathbb{T}}(\text{FILTER}_{\mathbb{T}}(x, be, \mathbb{K}))$ 517 by using similar arguments to 'if' case. Thus, by structural induction, we have  $\langle \sigma', k' \rangle \in$ 518  $\gamma_{\mathbb{T}}([s]^{\natural}$ FILTER<sub>T</sub> $(x, be, \mathbb{K}))$ , and so  $\langle \sigma', k' \rangle \in \gamma_{\mathbb{T}}(\phi^{\natural}(x))$ . We conclude  $\phi(\gamma_{\mathbb{T}}(x)) \subseteq \gamma_{\mathbb{T}}(\phi^{\natural}(x))$ . 519 The proof that  $\llbracket while e \text{ do } s \rrbracket \gamma_{\mathbb{T}}(t) \subseteq \gamma_{\mathbb{T}}(\llbracket while e \text{ do } s \rrbracket^{\natural}(t))$  follows from the definition 520 of  $\nabla_{\mathbb{T}}$  (cf. Algorithm 9) that invokes the sound  $\nabla_{\mathbb{D}_{Var \cup \mathbb{F}}}$  operator on leaf nodes. 521



**Example 8.** Let us consider the following dynamic program family P'':

1 A := [10, 15];
2 int x := 10, y;
3 if (A>12) then y := 1 else y := -1;
4 while (5) (x > 0) {
(6 A := A+y;
(7 x := x-1;
(8) } (9)

which contains one feature A with domain [0,99]. Initially, A can have a value from [10,15]. 525 We can calculate the abstract invariant semantics  $\llbracket P'' \rrbracket^{\natural}$ , thus obtaining invariants from 526  $\mathbb{T}$  in all locations. We show the inferred invariants in locations (5) and (9) in Figs. 7 and 527 8, respectively. The decision tree at the final location (a) shows that we have  $x=0 \wedge y=1$ 528 when  $23 \leq A \leq 25$  and  $x=0 \wedge y=-1$  when  $0 \leq A \leq 2$  on program exit. On the other hand, 529 if we analyze P'' using single-program polyhedra analysis, where A is considered as an 530 ordinary program variable, we obtain the following less precise invariant on program exit: 531  $x=0 \wedge -1 \leq y \leq 1 \wedge 5 \leq 2A - 5y \leq 45.$ 532

# 533 **5** Evaluation

We evaluate our decision tree-based approach for analyzing dynamic program families by comparing it with the single-program analysis approach, in which dynamic program families are considered as single programs and features as ordinary program variables. The evaluation aims to show that our decision tree-based approach can effectively analyze dynamic program families and that it achieves a good precision/cost tradeoff with respect to the single-program analysis. Specifically, we ask the following research questions:

**RQ1:** How precise are inferred invariants of our decision tree-based approach compared to single-program analysis?

**RQ2:** How time efficient is our decision tree-based approach compared to single-program analysis?

**RQ3:** Can we find practical application scenarios of using our approach to effectively analyze dynamic program families?

#### 546 Implementation

We have developed a prototype lifted static analyzer, called DSPLNUM<sup>2</sup>ANALYZER, which 547 uses the lifted domain of decision trees  $\mathbb{T}(\mathbb{C}_{\mathbb{D}},\mathbb{D})$ . The abstract operations and transfer 548 functions of the numerical domain  $\mathbb{D}$  (e.g., intervals, octagons, and polyhedra) are provided 549 by the APRON library [33]. Our proof-of-concept implementation is written in OCAML 550 and consists of around 8K lines of code. The current front-end of the tool provides only a 551 limited support for arrays, pointers, recursion, struct and union types, though an extension 552 is possible. The only basic data type is mathematical integers, which is sufficient for our 553 purposes. DSPLNuM<sup>2</sup>ANALYZER automatically computes a decision tree from the lifted 554 domain in every program location. The analysis proceeds by structural induction on the 555 program syntax, iterating while-s until a fixed point is reached. We apply delayed widening 556 [13], which means that we start extrapolating by widening only after some fixed number of 557 iterations we explicitly analyze the loop's body. The precision of the obtained invariants 558 for while-s is further improved by applying the narrowing operator [13]. We can tune the 559 precision and time efficiency of the analysis by choosing the underlying numerical abstract 560 domain (intervals, octagons, polyhedra), and by adjusting the widening delay. The precision 561 of domains increases from intervals to polyhedra, but so does the computational complexity. 562

#### 563 Experimental setup and Benchmarks

All experiments are executed on a 64-bit Intel<sup>®</sup>Core<sup>TM</sup> i7-8700 CPU@3.20GHz  $\times$  12, Ubuntu 564 18.04.5 LTS, with 8 GB memory. All times are reported as averages over five independent 565 executions. The implementation, benchmarks, and all results obtained from our experiments 566 are available from [20]: https://zenodo.org/record/4718697#.YJrDzagzbIU. We use 567 three instances of our lifted analyses via decision trees:  $\overline{\mathcal{A}}_{\mathbb{T}}(I), \overline{\mathcal{A}}_{\mathbb{T}}(O)$ , and  $\overline{\mathcal{A}}_{\mathbb{T}}(P)$ , which 568 use intervals, octagons, and polyhedra domains as parameters. We compare our approach 569 with three instances of the single-program analysis based on numerical domains from the 570 APRON library [33]:  $\mathcal{A}(I)$ ,  $\mathcal{A}(O)$ , and  $\mathcal{A}(P)$ , which use intervals, octagons, and polyhedra 571 domains, respectively. The default widening delay is 2. 572

The evaluation is performed on a dozen of C numerical programs collected from several 573 categories of the 9th International Competition on Software Verification (SV-COMP 2020) 574 <sup>3</sup>: product lines, loops, loop-invgen (invgen for short), loop-lit (lit for short), and 575 termination-crafted (crafted for short). In the case of product lines, we selected 576 the e-mail system [26], which has been used before to assess product-line verification in 577 the product-line community [2, 3, 48]. The e-mail system has eight features: encryption, 578 decryption, automatic forwarding, e-mail signatures, auto responder, keys, verify, and address 579 book, which can be activated or deactivated at run-time. There are forty valid configurations 580 that can be derived. For the other categories, we have first selected some numerical programs, 581 and then we have considered some of their integer variables as features. Basically, we selected 582

<sup>&</sup>lt;sup>3</sup> https://sv-comp.sosy-lab.org/2020/

#### 14:20 Lifted Static Analysis of Dynamic Program Families by Abstract Interpretation

Benchmark	LOC	$\mathcal{A}(I), 0$ feature			$\overline{\mathcal{A}}_{\mathbb{T}}(I), 1$ feature			$\overline{\mathcal{A}}_{\mathbb{T}}(I), 2 \text{ features}$		
		TIME	UNREA.	Rea.	TIME	UNREA.	MIX	TIME	UNREA.	Mix
e-mail_spec0	2645	16.2	80	48	29.3	80	48(1:1)	50.7	80	48(3:1)
e-mail_spec6	2660	18.8	6	26	23.6	16	16(1:1)	24.2	16	16(3:1)
e-mail_spec8	2665	14.6	12	20	19.1	12	20(1:1)	27.7	12	20(2:2)
e-mail_spec11	2660	15.2	160	96	24.7	160	96(1:1)	32.1	160	96(3:1)
e-mail_spec27	2630	14.5	384	128	28.4	384	128(1:1)	38.4	384	128(3:1)

**Table 1** Performance results for single analysis  $\mathcal{A}(I)$  vs. lifted analysis  $\overline{\mathcal{A}}_{\mathbb{T}}(I)$  with one and two features on selected e-mail variant simulators. All times are in seconds.

those program variables as features that control configuration decisions and can influence the outcome of the given assertions. Tables 1 and 2 present characteristics of the selected benchmarks in our empirical study, such as: the file name (Benchmark), the category where it is located (folder), number of features ( $|\mathbf{F}|$ ), total number of lines of code (LOC).

We use the analyses  $\mathcal{A}(\mathbb{D})$  and  $\mathcal{A}_{\mathbb{T}}(\mathbb{D})$  to evaluate the validity of assertions in the selected 587 benchmarks. Let  $d \in \mathbb{D}$  be a numerical invariant found before the assertion assert(be). An 588 analysis can establish that the assertion is: (1) 'unreachable', if  $d = \perp_{\mathbb{D}}$ ; (2) 'correct' (valid), if 589  $d \sqsubseteq_{\mathbb{D}} \text{FILTER}_{\mathbb{D}}(d, be)$ , meaning that the assertion is indeed valid regardless of approximations; 590 (3) 'erroneous' (invalid), if  $d \sqsubseteq_{\mathbb{D}} \text{FILTER}_{\mathbb{D}}(d, \neg be)$ , meaning that the assertion is indeed 591 invalid; and (4) 'I don't know', otherwise, meaning that the approximations introduced due 592 to abstraction prevent the analyzer from giving a definite answer. We say that an assertion 593 is reachable if one of the answers (2), (3), or (4) is obtained. In the case of the lifted analysis 594  $\mathcal{A}_{\mathbb{T}}(\mathbb{D})$ , we may also obtain *mixed* assertions when different leaf nodes of the resulting decision 595 trees yield different answers. 596

#### 597 **Results**

E-mail system. We use a variant simulator that has been generated with variability encoding 598 from the e-mail configurable system [26]. Variability encoding is a process of encoding 599 compile-time (static) variability of a configurable system as run-time (dynamic) variability 600 in the variant simulator [48, 32]. In this setting, compile-time features are encoded with 601 global program variables, and static configuration choices (e.g., #if-s) are encoded with 602 conditional statements in the target language (if statements). We consider five specifications 603 of the e-mail system encoded as assertions in SV-COMP. As variant simulators use standard 604 language constructs to express variability (if statements), they can be analyzed by standard 605 single-program analyzers  $\mathcal{A}(\mathbb{D})$ . We also analyze the variant simulators using our lifted 606 analysis  $\overline{\mathcal{A}}_{\mathbb{T}}(\mathbb{D})$ , where some of the feature variables are considered as real features. This 607 way, our aim is to obtain more precise analysis results. For effectiveness, we consider only 608 those feature variables that influence directly the specification as real features. Specifically, 609 we consider variant simulators with one and two separate features, and five specifications: 610 spec0, spec6, spec8, spec11, and spec27. For example, spec0 checks whether a message 611 to be forwarded is readable, while spec27 checks whether the public key of a person who sent 612 a message is available. For each specification, many assertions appear in the main function 613 after inlining. 614

Table 1 shows the results of analyzing the selected e-mail simulators using  $\mathcal{A}(I)$  and  $\overline{\mathcal{A}}_{\mathbb{T}}(I)$  with one and two features. In the case of  $\mathcal{A}(I)$ , we report the number of assertions

that are found 'unreachable', denoted by UNREA., and reachable ('correct'/'erroneous'/'I 617 don't know'), denoted by REA. In the case of  $\overline{\mathcal{A}}_{\mathbb{T}}(I)$ , we report the number of 'unreachable' 618 assertions, denoted by UNREA., and mixed assertions, denoted by MIX. When a reachable 619 ('correct'/'erroneous'/'I don't know') assertion is reported by  $\mathcal{A}(I)$ , the lifted analysis  $\mathcal{A}_{\mathbb{T}}(I)$ 620 may give more precise answer by providing the information for which variants that assertion 621 is reachable and for which is unreachable. We denote by (n:m) the fact that one assertion is 622 unreachable in n variants and reachable in m variants. Note that feature variables in variant 623 simulators are non-deterministically initialized at the beginning of the program and then 624 can be only read in guards of if statements, thus  $\overline{\mathcal{A}}_{\mathbb{T}}(I)$  may only find more precise answers 625 than  $\mathcal{A}(I)$  with respect to the reachability of assertions. That is, it may find more assertions 626 that are unreachable in various variants. See the following paragraph 'Other benchmarks' for 627 examples where 'I don't know' answers by  $\mathcal{A}(I)$  are turned into definite ('correct'/'erroneous') 628 answers by  $\overline{\mathcal{A}}_{\mathbb{T}}(I)$ . We can see in Table 1 that, for all reachable assertions found by  $\mathcal{A}(I)$ , 629 we obtain more precise answers using the lifted analysis  $\overline{\mathcal{A}}_{\mathbb{T}}(I)$ . For example,  $\mathcal{A}(I)$  finds 630 128 'I don't know' assertions for spec27, while  $\overline{\mathcal{A}}_{\mathbb{T}}(I)$  with one feature Keys finds 128 (1:1) 631 mixed assertions such that each assertion is 'unreachable' when Keys=0 and 'I don't know' 632 when Keys=1. By using  $\overline{\mathcal{A}}_{\mathbb{T}}(I)$  with two features Keys and Forward, we obtain 128 (3:1) 633 mixed assertions, with each assertion is 'unreachable' when  $Keys = 0 \lor Forward = 0$ . Similar 634 analysis results are obtained for the other specifications. For all specifications, the analysis 635 time increases by considering more features. In particular, we find that  $\overline{\mathcal{A}}_{\mathbb{T}}(I)$  with one 636 feature is in average 1.6 times slower than  $\mathcal{A}(I)$ , and  $\mathcal{A}_{\mathbb{T}}(I)$  with two features is in average 637 2.2 times slower than  $\mathcal{A}(I)$ . However, we also obtain more precise information when using 638  $\overline{\mathcal{A}}_{\mathbb{T}}(I)$  with respect to the reachability of assertions in various configurations. 639

Other benchmarks. We now present the performance results for the benchmarks from 640 other SV-COMP categories. The program half\_2.c from loop-invgen category is given 641 in Fig. 9a. When we perform a single-program analysis  $\mathcal{A}(P)$ , we obtain the 'I don't 642 know' answer for the assertion. However, if n is considered as a feature and the lifted 643 analysis  $\overline{\mathcal{A}}_{\mathbb{T}}(P)$  is performed on the resulting dynamic program family, we yield that the 644 assertion is: 'correct' when  $n \ge 1$ , 'erroneous' when  $n \le -2$ , and 'I don't know' answer 645 otherwise. We observe that the lifted analysis considers two different behaviors of half\_2.c separately: the first when the loops are executed one or more times, and the second 647 when the loops are not executed at all. Hence, we obtain definite answers, 'correct' and 648 'erroneous', for the two behaviors. The program seq.c from loop-invgen category is 649 given in Fig. 9b. When seq.c is analyzed using  $\mathcal{A}(P)$ , we obtain 'I don't know' for the 650 assertion. When n0 and n1 are considered as features with the domains [-Max, +Max], 651  $\mathcal{A}_{\mathbb{T}}(P)$  gives more precise results for the assertion. In particular, the assertion is 'correct' 652 when  $(1 \le n0 \le Max \land 1 \le n1 \le Max)$  or  $(-Max \le n0 \le 0 \land -Max \le n1 \le 0)$ , whereas 653 the assertion is 'erroneous' when  $(n0 + n1 \le 0 \land (n0 \ge 1 \lor n1 \ge 1))$  and we obtain 'I don't 654 know' when  $(n0 + n1 \ge 1 \land (n0 \le 0 \lor n1 \le 0))$ . The program sum01\_bug02.c from loops 655 is given in Fig. 9c.  $\mathcal{A}(P)$  reports 'I don't know' for the assertion, while  $\overline{\mathcal{A}}_{\mathbb{T}}(P)$ , when n 656 is a feature with domain [0, Max], reports more precise answers: 'erroneous' when  $n \geq 9$ , 657 'correct' when n = 0, and 'I don't know' otherwise.  $\mathcal{A}(P)$  reports 'I don't know' for the 658 assertion in count\_up\_down\*.c from loops, which is given in Fig. 9d. Still,  $\overline{\mathcal{A}}_{\mathbb{T}}(P)$  when 659 n is a feature with domain [-Max, Max] reports: 'correct' answer when n = 0 at the final 660 location, 'erroneous' when  $n \leq -1$ , and 'I don't know' otherwise. Similarly,  $\mathcal{A}(P)$  reports 'I 661 don't know' for the assertions in hhk2008.c and gsv2008.c from loop-lit (given in Figs. 9e 662 and 9f). However,  $\mathcal{A}_{\mathbb{T}}(P)$  reports more precise answers in both cases. We consider *res* and 663 cnt (resp., x) as features with domains [-Max, Max] for hhk2008.c (resp., gsv2008.c), and 664

	$\underline{n0} := [-Max, Max];$		
	$\underline{\mathtt{n1}}:=[-Max,Max];$		
	int i0:=0, k=0;		
	while $(i0 \le \underline{n0})$ {		
	iO := iO+1;		
$\underline{\mathtt{n}}\!:\!=\![-Max,Max];$	k := k+1; }		
<pre>int k:=n, i:=0;</pre>	int i1:=0;	<u>n</u> :=[0, Max];	
$\mathtt{while}(\mathtt{i}{\leq}\underline{\mathtt{n}})\{$	while $(i1 \le \underline{n1})$ {	int a := 2;	$\underline{\mathbf{n}}$ :=[- $Max$ , $Max$ ];
k := k-1;	i1 := i1+1;	<pre>int i, j:=10, sn=0;</pre>	$int x := \underline{n};$
i := i+2;}	k := k+1; }	$\texttt{for}(\texttt{i=1};\texttt{i} \leq \underline{\texttt{n}};\texttt{i++}) \ \{$	<pre>int y=0;</pre>
<pre>int j:=0;</pre>	int j1:=0;	if $(j \ge \underline{n})$ then	while $(\underline{n}>0)$ {
while $(j \le \underline{n}/2)$ {	$\texttt{while}\left(\texttt{j1<\underline{n0}+\underline{n1}}\right)\{$	sn := sn+a;	$\underline{\mathbf{n}} := \underline{\mathbf{n}} - 1;$
k := k-1;	j1 := j1+1;	j := j-1;	y := y+1;}
j := j+1;}	k := k-1;}	}	}
$\texttt{assert}(\texttt{k} \ge -1);$	assert(k==0);	$\texttt{assert}(\texttt{sn} == \underline{\texttt{n}} * \texttt{a});$	$\verb"assert"(y == x);$
(a) half_2.c	(b) <i>seq.c</i>	(c) <i>sum</i> 01_ <i>bug</i> 02. <i>c</i>	(d) count_up_down * .c
		$\underline{c} := [-Max, Max];$	$\underline{\mathbf{x}} := [-Max, Max];$
<pre>res:=[-Max, Max];</pre>	$\underline{\mathbf{x}} := [-Max, Max];$	int x := [-Max, Max];	$\underline{y}$ :=[- $Max, Max$ ];
$\underline{cnt}:=[-Max, Max];$	$\underline{x} := -50;$	$\texttt{if} \ (\underline{\texttt{c}} \geq 2) \ \texttt{then} \ \{$	<pre>int oldx;</pre>
<pre>int a:=res, b:=<u>cnt;</u></pre>	int y:=[-9,9];	$\texttt{while} \left(\texttt{x+}\underline{\texttt{c}} \geq 2\right)  \{$	while $(\underline{\mathbf{x}} \ge 0 \land \underline{\mathbf{y}} \ge 0)$ }
while $(\underline{cnt}>0)$ {	while $(\underline{x} < 0)$ {	$x := x - \underline{c};$	oldx := $\underline{x}$ ;
<u>cnt</u> := <u>cnt</u> -1;	$\underline{\mathbf{x}} := \underline{\mathbf{x}} + \mathbf{y};$	<u>c</u> := <u>c</u> +1; }	$\underline{x} := \underline{y} - 1; \}$
<u>res</u> := <u>res</u> +1; }	y := y+1;}	}	<u>y</u> := oldx-1; }
$assert(\underline{res} == a+b);$	$assert(y \le 60+x);$	$\texttt{assert} (\texttt{x} \leq -3);$	$\mathtt{assert} \ (\underline{\mathtt{x}} \mathtt{+} \underline{\mathtt{y}} \le 0);$
(e) hhk2008.c	(f) gsv2008.c	(g) Mysore.c	(h) Copenhagen.c

**Figure 9** Benchmarks from SV-COMP. All underlined variables are considered as features in the corresponding dynamic program families.

we obtain 'correct' answer when cnt = 0 for hhk2008.c (resp., when  $x \ge 0$  for gsv2008.c), 'erroneous' answer when  $cnt \le -1$  for hhk2008.c, and 'I don't know' answer otherwise. Finally,  $\overline{\mathcal{A}}_{\mathbb{T}}(P)$  reports more precise answers than  $\mathcal{A}(P)$  for Mysore.c and Copenhagen.c from termination crafted category (given in Figs. 9g and 9h).

Although for all benchmarks  $\overline{\mathcal{A}}_{\mathbb{T}}(P)$  infers more precise invariants, still  $\overline{\mathcal{A}}_{\mathbb{T}}(P)$  also takes 669 more time than  $\mathcal{A}(P)$ , as expected. On our benchmarks, this translates to slow-downs (i.e., 670  $\mathcal{A}(P)$  vs.  $\overline{\mathcal{A}}_{\mathbb{T}}(P)$  of 4.9 times in average when  $|\mathbb{F}| = 1$ , and of 6.9 times in average when 671  $|\mathbb{F}| = 2$ . However, in some cases the more efficient version  $\overline{\mathcal{A}}_{\mathbb{T}}(O)$ , which uses octagons, can 672 also provide more precise results than  $\mathcal{A}(P)$ . For example,  $\overline{\mathcal{A}}_{\mathbb{T}}(O)$  for half\_2.c gives the 673 precise 'erroneous' answer like  $\overline{\mathcal{A}}_{\mathbb{T}}(P)$  but gives 'I don't know' in all other cases, whereas 674  $\overline{\mathcal{A}}_{\mathbb{T}}(O)$  for count\_up\_down\*.c gives the precise 'erroneous' and 'unreachable' answers like 675  $\overline{\mathcal{A}}_{\mathbb{T}}(P)$  but it turns the 'correct' answer from  $\overline{\mathcal{A}}_{\mathbb{T}}(P)$  into an 'I don't know'. On the other 676 hand, for gsv2008.c and Mysore.c,  $\overline{\mathcal{A}}_{\mathbb{T}}(O)$  gives the same precise answers as  $\overline{\mathcal{A}}_{\mathbb{T}}(P)$ , but 677 twice faster. Furthermore, for sum01\*.c, even  $\overline{\mathcal{A}}_{\mathbb{T}}(I)$ , which uses intervals, gives the same 678 precise answers like  $\overline{\mathcal{A}}_{\mathbb{T}}(P)$ , but with the similar time performance as  $\mathcal{A}(P)$ . Table 2 shows 679 the running times of  $\mathcal{A}(P)$ ,  $\overline{\mathcal{A}}_{\mathbb{T}}(O)$ , and  $\overline{\mathcal{A}}_{\mathbb{T}}(P)$ , as well as whether the corresponding analysis 680 precisely evaluates the given assertion – denoted by ANS. (we use  $\checkmark$  for yes,  $\simeq$  for partially 681 yes, and  $\times$  for no). 682

Benchmark	folder	$ \mathbb{F} $	LOC	$\mathcal{A}(P)$		$ $ $\overline{\mathcal{A}}_{\mathbb{T}}(O)$		$\overline{\mathcal{A}}_{\mathbb{T}}(P)$	
				TIME	ANS.	TIME	ANS.	TIME	Ans.
half_2.c	invgen	1	25	0.008	×	0.014	$\simeq$	0.017	$\checkmark$
seq.c	invgen	2	30	0.015	×	0.084	$\checkmark$	0.045	$\checkmark$
<pre>sum01*.c</pre>	loops	1	15	0.008	×	0.009	$\checkmark$	0.041	$\checkmark$
count_up_d*.c	loops	1	15	0.002	×	0.008	$\simeq$	0.011	$\checkmark$
hhk2008.c	lit	2	20	0.003	×	0.073	$\simeq$	0.032	$\checkmark$
gsv2008.c	lit	1	20	0.002	×	0.007	$\checkmark$	0.015	$\checkmark$
Mysore.c	crafted	1	30	0.0008	×	0.002	$\checkmark$	0.004	$\checkmark$
Copenhagen.c	crafted	2	30	0.002	×	0.012	$\simeq$	0.021	$\checkmark$

**Table 2** Performance results for single analysis  $\mathcal{A}(\mathbb{D})$  vs. lifted analysis  $\overline{\mathcal{A}}_{\mathbb{T}}(\mathbb{D})$  and  $\overline{\mathcal{A}}_{\mathbb{T}}(O)$  on selected benchmarks from SV-COMP. All times are in seconds.

#### 683 Discussion

Our experiments demonstrate that the lifted analysis  $\overline{\mathcal{A}}_{\mathbb{T}}(\mathbb{D})$  is able to infer more precise 684 numerical invariants than the single-program analysis  $\mathcal{A}(\mathbb{D})$  while maintaining scalability 685 (addresses **RQ1**). As the result of more complex abstract operations and transfer functions 686 of the decision tree domain, we observe slower running times of  $\overline{\mathcal{A}}_{\mathbb{T}}(\mathbb{D})$  as compared to  $\mathcal{A}(\mathbb{D})$ . 687 However, this is an acceptable precision/cost tradeoff, since the more precise numerical 688 invariants inferred by  $\overline{\mathcal{A}}_{\mathbb{T}}(\mathbb{D})$  enables us to successfully answer many interesting assertions in 689 all considered benchmarks (addresses **RQ2** and **RQ3**). Furthermore, our current tool is only 690 a prototype implementation to experimentally confirm the suitability of our approach. Many 691 abstract operations and transfer functions of the lifted domain can be further optimized, 692 thus making the performances of the tool to improve. 693

Our current tool supports a non-trivial subset of C, and the missing constructs (e.g. 694 pointers, struct and union types) are largely orthogonal to the solution (lifted domains). 695 In particular, these features complicate the abstract semantics of single-programs and 696 implementation of the domains for leaf nodes, but have no impact on the semantics of 697 variability-specific constructs and the lifted domains we introduce in this work. Therefore, 698 supporting these constructs would not provide any new insights to our evaluation. If a 699 real-world tool based on abstract interpretation (e.g. ASTREE [14]) becomes freely available, 700 we can easily transfer our implementation to it. 701

702 6 Related Work

Decision-tree abstract domains have been a topic of research in the field of abstract inter-703 pretation in recent times [25, 15, 9, 46]. Decision trees have been applied for the disjunctive 704 refinement of interval (boxes) domain [25]. That is, each element of the new domain is a 705 propositional formula over interval linear constraints. Decision tree abstract domains has also 706 been used to enable path dependent static analysis [15, 9] by handling disjunctive analysis 707 properties. Binary decision tree domains [9] can express disjunctive properties depending on 708 the boolean values of the branch (if) conditions (represented in decision nodes) with sharing 709 of the properties of the other variables (represented in leaf nodes). Segmented decision 710 tree abstract domains [15] are generalizations of binary decision tree domains and array 711 segmentation, where the choices in decision nodes are made on the values of decision variables 712

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according to the ranges specified by a symbolic segmentation. A pre-analysis is used to find 713 decision variables and their symbolic segmentation. The choices for a given decision variable 714 are made only once along a given path. The decision tree lifted domain proposed here can 715 be considered as a generalization of the segmented decision tree domain, where the choices 716 for a given feature variable can be made several times along a given path and arbitrary 717 linear constraints over feature variables can be used to represent the choices in decision 718 nodes. Moreover, linear constraints labelling decision nodes here are semantically inferred 719 during the static analysis and do not necessarily syntactically appear in the code. Urban and 720 Mine [46] use decision tree-based abstract domains to prove program termination. Decision 721 nodes are labelled with linear constraints that split the memory space and leaf nodes contain 722 affine ranking functions for proving program termination. The APRON library has been 723 developed by Jeannet and Mine [33] to support the application of numerical abstract domains 724 in static analysis. The ELINA library [44] represents an another efficient implementation of 725 numerical abstract domains. 726

Several lifted analyses based on abstract interpretation have been proposed recently 727 [36, 23, 18, 19, 21] for analyzing traditional program families with #ifdef-s. A formal 728 methodology for derivation of tuple-based lifted analyses from existing single-program analyses 729 phrased in the abstract interpretation framework has been proposed by Midtgaard et. al. [36]. 730 They use a lifted domain that is a  $|\mathbb{K}|$ -fold product of an existing single-program domain. 731 That is, the elements of the lifted domain are tuples that contain one separate component for 732 each configuration of K. A more efficient lifted analysis by abstract interpretation obtained 733 by improving representation via BDD-based lifted domains is proposed by Dimovski [18, 19]. 734 The elements of the lifted domain are BDDs, in which decision nodes are labelled with Boolean 735 features and leaf nodes belong to an existing single-program domain. BDDs offer more 736 possibilities for sharing and interaction between analysis properties corresponding to different 737 configurations. The above lifted analyses are applied to program families with only Boolean 738 features. The work [21] extends prior approaches by using decision tree-based lifted domain 739 for analyzing program families with numerical features. In this case, the elements of the 740 lifted domain are decision trees, in which decision nodes are labelled with linear constraints 741 over numerical features and leaf nodes belong to an existing single-program domain. This 742 domain is also successfully applied to program synthesis for resolving program sketches [22]. 743 Several other efficient implementations of the lifted dataflow analysis from the monotone 744 framework (a-la Kildall) [35] have also been proposed in the SPL community. Brabrand et 745 al. [5] have introduced a tuple-based lifted dataflow analysis, whereas an approach based 746 on using variational data structures (e.g., variational CFGs, variational data-flow facts) [47] 747 have been used for achieving efficient dataflow computation of some real-world systems. 748 Finally, SPL<sup>LIFT</sup> [4] is an implementation of the lifted dataflow analysis formulated within 749 the IFDS framework, which is a subset of dataflow analyses with certain properties, such as 750 distributivity of transfer functions. 751

Dynamic program families (DSPLs) have been introduced by Hallsteinsen et al. [28] in
2008 as a technique that uses the principles of traditional SPLs to build variants adaptable
at run-time. Since then, the research on DSPLs has been mainly focussed on developing
mechanisms for implementing DSPLs and for defining suitable feature models.

There are many strategies for implementing variability in traditional SPLs, such as: annotative approach via the C-preprocessor's **#ifdef** construct [34], compositional approach via the feature-oriented programming (FOP) [40] and the delta-oriented programming (DOP) [43], etc. The extensions of FOP and DOP to support run-time reconfiguration and software evolution as found in DSPLs has been proposed by Rosenmuller et al. [42] and Damiani

et al [17]. In this work, we extend the annotative approach via **#ifdef**-s to implement 761 variability in DSPLs. The set of valid configurations  $\mathbb{K}$  of a program family with Boolean 762 and numerical features is typically described by a numerical feature model, which represents 763 a tree-like structure that describes which combinations of feature's values and relationships 764 among them are valid. Several works address the need to change the structural variability 765 (feature model) at run-time. One approach [30] relies on the Common Variability Language 766 (CVL) as an attempt for modelling variability transformations by allowing different types 767 of substitutions to re-configure new versions of base models. Cetina et al. [8] also propose 768 several strategies for modelling runtime transformations using CVL. Helleboogh et al. [31] 769 use a meta-variability model to support dynamic feature models, where high-level constructs 770 enable the addition and removal of variants on-the-fly to the base feature model. In this work, 771 we disregard syntactic representations of the set  $\mathbb{K}$  as feature model, as we are concerned 772 with behavioural analysis of program families rather than with implementation details of 773  $\mathbb{K}$ . Therefore, we use the set-theoretic view of  $\mathbb{K}$  that is syntactically fixed a priori. This is 774 convenient for our purpose here. To the best of our knowledge, our work is pioneering in 775 studying specifically designed behavioral analysis of dynamic program families. 776

# **777 Conclusion**

In this work, we employ decision trees and widely-known numerical abstract domains for the automatic analysis of C program families that contain dynamically bound features. This way, we obtain a decision tree lifted domain for handling dynamic program families with numerical features. Based on a number of experiments on benchmarks from SV-COMP, we have shown that our lifted analysis is effective and performs well on a wide variety of cases by achieving a good precision/cots tradeoff. The lifted domain  $\mathbb{T}(\mathbb{C}_{\mathbb{D}},\mathbb{D})$  is very expressive since it can express weak forms of disjunctions arising from feature-based constructs.

In the future, we would like to extend the lifted abstract domain to also support non-linear 785 constraints, such as congruences and non-linear functions (e.g. polynomials, exponentials) 786 [6]. Note that the lifted analysis  $\mathcal{A}_{\mathbb{T}}(\mathbb{D})$  reports constraints defined over features for which 787 a given assertion is valid, fails, or unreachable. The found constraints take into account 788 the value of features at the location before the given assertion. By using a backward lifted 789 analysis [24, 38], which propagates backwards the found constraints by  $\overline{\mathcal{A}}_{\mathbb{T}}(\mathbb{D})$ , we can infer 790 the necessary preconditions (defined over features) in the initial state that will guarantee the 791 assertion is always valid, fails, or unreachable. 792

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